

Section 4.6 Rank

The set of all linear combinations of the row vectors of a matrix A is called the **row space** of A and is denoted by $\text{Row } A$.

EXAMPLE: Let

$$A = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 2 & -5 & -6 & -12 \\ 1 & -3 & -3 & -6 \end{bmatrix} \quad \text{and} \quad \begin{aligned} \mathbf{r}_1 &= (-1, 2, 3, 6) \\ \mathbf{r}_2 &= (2, -5, -6, -12) \\ \mathbf{r}_3 &= (1, -3, -3, -6) \end{aligned}$$

$$\text{Row } A = \text{Span}\{\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3\} \text{ (a subspace of } \mathbf{R}^4\text{)}$$

While it is natural to express row vectors horizontally, they can also be written as column vectors if it is more convenient. Therefore

$$\boxed{\text{Col } A^T = \text{Row } A}.$$

When we use row operations to reduce matrix A to matrix B , we are taking linear combinations of the rows of A to come up with B . We could reverse this process and use row operations on B to get back to A . Because of this, the row space of A equals the row space of B .

THEOREM 13

If two matrices A and B are row equivalent, then their row spaces are the same. If B is in echelon form, the nonzero rows of B form a basis for the row space of A as well as B .

EXAMPLE: The matrices

$$A = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 2 & -5 & -6 & -12 \\ 1 & -3 & -3 & -6 \end{bmatrix} \text{ and } B = \begin{bmatrix} -1 & 2 & 3 & 6 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

are row equivalent. Find a basis for row space, column space and null space of A . Also state the dimension of each.

Basis for Row A : { _____ }

dim Row A : _____

Basis for Col A : { $\begin{bmatrix} \\ \\ \\ \end{bmatrix}, \begin{bmatrix} \\ \\ \\ \end{bmatrix}$ }

dim Col A : _____

To find Nul A , solve $A\mathbf{x} = \mathbf{0}$ first:

$$\begin{bmatrix} -1 & 2 & 3 & 6 & 0 \\ 2 & -5 & -6 & -12 & 0 \\ 1 & -3 & -3 & -6 & 0 \end{bmatrix} \sim \begin{bmatrix} -1 & 2 & 3 & 6 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -3 & -6 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} 3x_3 + 6x_4 \\ 0 \\ x_3 \\ x_4 \end{bmatrix} = x_3 \begin{bmatrix} 3 \\ 0 \\ 1 \\ 0 \end{bmatrix} + x_4 \begin{bmatrix} 6 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

Basis for Nul A : { $\begin{bmatrix} 3 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 6 \\ 0 \\ 0 \\ 1 \end{bmatrix}$ } and dim Nul A = _____

Note the following:

$$\dim \text{Col } A = \# \text{ of pivots of } A = \# \text{ of nonzero rows in } B = \dim \text{Row } A.$$

$$\dim \text{Nul } A = \# \text{ of free variables} = \# \text{ of nonpivot columns of } A.$$

DEFINITION

The **rank** of A is the dimension of the column space of A .

$$\boxed{\text{rank } A = \dim \text{Col } A = \# \text{ of pivot columns of } A = \dim \text{Row } A.}$$

$$\begin{array}{ccccc} \underbrace{\text{rank } A} & + & \underbrace{\dim \text{Nul } A} & = & \underbrace{n} \\ \updownarrow & & \updownarrow & & \updownarrow \\ \left\{ \begin{array}{c} \# \text{ of pivot} \\ \text{columns} \\ \text{of } A \end{array} \right\} & & \left\{ \begin{array}{c} \# \text{ of nonpivot} \\ \text{columns} \\ \text{of } A \end{array} \right\} & & \left\{ \begin{array}{c} \# \text{ of} \\ \text{columns} \\ \text{of } A \end{array} \right\} \end{array}$$

THEOREM 14 THE RANK THEOREM

The dimensions of the column space and the row space of an $m \times n$ matrix A are equal. This common dimension, the rank of A , also equals the number of pivot positions in A and satisfies the equation

$$\text{rank } A + \dim \text{Nul } A = n.$$

Since $\text{Row } A = \text{Col } A^T$,

$$\boxed{\text{rank } A = \text{rank } A^T.}$$

EXAMPLE: Suppose that a 5×8 matrix A has rank 5. Find $\dim \text{Nul } A$, $\dim \text{Row } A$ and $\text{rank } A^T$. Is $\text{Col } A = \mathbf{R}^5$?

Solution:

$$\begin{array}{ccc} \underbrace{\text{rank } A} & + & \underbrace{\dim \text{Nul } A} = \underbrace{n} \\ \downarrow & & \downarrow \\ 5 & & ? \end{array}$$

$$5 + \dim \text{Nul } A = 8 \quad \Rightarrow \quad \dim \text{Nul } A = \underline{\hspace{2cm}}$$

$$\dim \text{Row } A = \text{rank } A = \underline{\hspace{2cm}} \quad \Rightarrow \quad \text{rank } A^T = \text{rank } \underline{\hspace{2cm}} = \underline{\hspace{2cm}}$$

Since $\text{rank } A = \#$ of pivots in $A = 5$, there is a pivot in every row. So the columns of A span \mathbf{R}^5 (by Theorem 4, page 43). Hence $\text{Col } A = \mathbf{R}^5$.

EXAMPLE: For a 9×12 matrix A , find the smallest possible value of $\dim \text{Nul } A$.

Solution:

$$\text{rank } A + \dim \text{Nul } A = 12$$

$$\dim \text{Nul } A = 12 - \underbrace{\text{rank } A}_{\text{largest possible value} = \underline{\hspace{2cm}}}$$

$$\text{smallest possible value of } \dim \text{Nul } A = \underline{\hspace{2cm}}$$

Visualizing Row A and Nul A

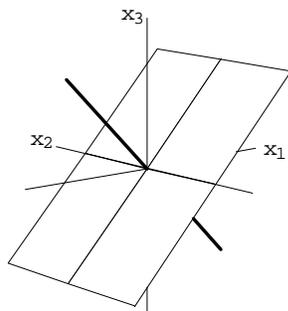
EXAMPLE: Let $A = \begin{bmatrix} 1 & 0 & -1 \\ 2 & 0 & 2 \end{bmatrix}$. One can easily verify the following:

Basis for Nul $A = \left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \right\}$ and therefore Nul A is a plane in \mathbf{R}^3 .

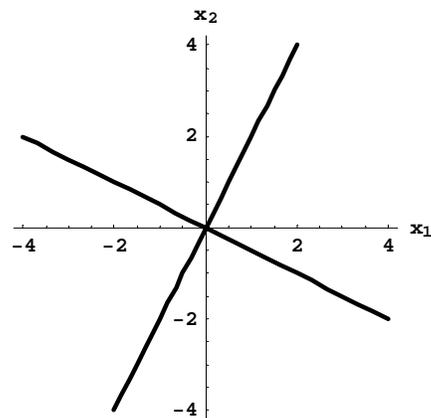
Basis for Row $A = \left\{ \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \right\}$ and therefore Row A is a line in \mathbf{R}^3 .

Basis for Col $A = \left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right\}$ and therefore Col A is a line in \mathbf{R}^2 .

Basis for Nul $A^T = \left\{ \begin{bmatrix} -2 \\ 1 \end{bmatrix} \right\}$ and therefore Nul A^T is a line in \mathbf{R}^2 .



Subspaces Nul A and Row A



Subspaces Nul A^T and Col A

The Rank Theorem provides us with a powerful tool for determining information about a system of equations.

EXAMPLE: A scientist solves a homogeneous system of 50 equations in 54 variables and finds that exactly 4 of the unknowns are free variables. Can the scientist be *certain* that any associated nonhomogeneous system (with the same coefficients) has a solution?

Solution: Recall that

$$\text{rank } A = \dim \text{Col } A = \# \text{ of pivot columns of } A$$

$$\dim \text{Nul } A = \# \text{ of free variables}$$

In this case $A\mathbf{x} = \mathbf{0}$ where A is 50×54 .

By the rank theorem,

$$\text{rank } A + \underline{\hspace{2cm}} = \underline{\hspace{2cm}}$$

or

$$\text{rank } A = \underline{\hspace{2cm}}.$$

So any nonhomogeneous system $A\mathbf{x} = \mathbf{b}$ has a solution because there is a pivot in every row.

THE INVERTIBLE MATRIX THEOREM (continued)

Let A be a square $n \times n$ matrix. The the following statements are equivalent:

- m. The columns of A form a basis for \mathbf{R}^n
- n. $\text{Col } A = \mathbf{R}^n$
- o. $\dim \text{Col } A = n$
- p. $\text{rank } A = n$
- q. $\text{Nul } A = \{\mathbf{0}\}$
- r. $\dim \text{Nul } A = 0$