

# SELF-MAPS OF $\mathbb{P}^2$ WITH INVARIANT ELLIPTIC CURVES

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ABSTRACT. We discuss the geometric and dynamical properties of the holomorphic self-maps of  $\mathbb{P}^2$  that leave invariant an elliptic plane curve.

## 1. INTRODUCTION.

Given an elliptic plane curve  $Q$ , we consider the problem of constructing holomorphic self-maps  $f$  of  $\mathbb{P}^2$  that leave  $Q$  invariant. In section 2, we state the criterion for a self-map of  $Q$  to extend to  $\mathbb{P}^2$ . We look in section 3 at the singular points of  $Q$ . In contrast with the smooth case, most singular elliptic curves do not admit non-trivial self-maps. The obstructions given by the singular points of  $Q$  are discussed in section 3. We define two invariants, in terms of Weierstrass'  $\sigma$  and  $\zeta$  functions, and state an invariance criterion for the elliptic plane curves with ordinary singularities.

In section 4, we prove that do not exist self-maps of  $\mathbb{P}^2$ , for which  $Q$  is critical and invariant.

We prove in section 5 that the backward orbit of any point of  $Q$  is dense in the Julia set of  $f$ .

In section 6 we discuss the case when  $Q$  is a smooth cubic. The classic tangent process on  $Q$  provides examples of self-maps that leave  $Q$  invariant. If we require  $f$  to leave invariant a line of lines,  $Q$  must be isomorphic to the Fermat cubic. We also discuss in this section the case when  $f$  has minimal degree 2.

When an elliptic plane curve has enough symmetries, the invariants associated to its singular points can be calculated easily. The simplest case is the dual of a smooth cubic (section 7). In section 8, we consider special families of elliptic quartics with two singular points. Computer-generated pictures illustrate tangent processes on such curves.

## 2. PRELIMINARIES.

Let  $\mathbb{C}_d$  denote the space of homogeneous polynomials of degree  $d$  in three variables. A rational self-map  $f$  of  $\mathbb{P}^2$ , of algebraic degree  $d(f) = d$  is given by three polynomials  $p_0, p_1, p_2$  in  $\mathbb{C}_d$  with no common divisors, according to the formula  $f[x_0, x_1, x_2] = [p_0(x_0, x_1, x_2), p_1(x_0, x_1, x_2), p_2(x_0, x_1, x_2)]$ . Let  $I(f)$  denote the set of indeterminacy of  $f$ , formed by the common zeroes in  $\mathbb{P}^2$  of the polynomials  $p_j$ .

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When  $I(f) = \emptyset$ ,  $f$  is regular (holomorphic). We denote by  $\deg(\phi)$  the topological degree of a map  $\phi$ . When  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  is regular,  $\deg(f) = d(f)^2$ .

Every effective divisor  $D$  on  $\mathbb{P}^2$  of degree  $\deg(D) = e$  is given by an equation  $(p = 0)$ , with  $0 \neq p \in \mathbb{C}_e$  determined by  $D$  up to a multiplicative constant. We denote by  $\simeq$  the linear equivalence of divisors, and also the isomorphism of line bundles. Two divisors on  $\mathbb{P}^2$  are linearly equivalent iff they have the same degree. The pull-back of a divisor  $D$  through a map  $f$  is denoted by  $f^*D$ . Given a rational self-map  $f$  of  $\mathbb{P}^2$  and a divisor  $D = (p = 0)$  on  $\mathbb{P}^2$  whose support does not contain the image of  $f$ ,  $f^*D = (p(f) = 0)$ , with  $\deg(f^*D) = d(f) \deg(D)$ . Let  $\mathcal{O}_{\mathbb{P}^2}(d)$  denote the line bundle on  $\mathbb{P}^2$  whose global holomorphic sections vanish on effective divisors of degree  $d$ . Its restriction to a plane curve  $Q$  is denoted  $\mathcal{O}_Q(d)$ . (By ‘‘curve’’, we mean a one-dimensional irreducible variety.)

*Remark 2.1.* Let  $f$  be a non-constant rational self-map of  $\mathbb{P}^2$ . If  $Q$  is plane curve with  $I(f) \cap Q = \emptyset$ , then  $C := f(Q)$  is a curve. If  $Q \xrightarrow{g} C$  denotes the restriction of  $f$  to  $Q$ , then  $g^*\mathcal{O}_C(1) \simeq \mathcal{O}_Q(d(f))$ , hence  $\frac{\deg(g)}{d(f)} = \frac{\deg(Q)}{\deg(C)}$ .

*Proof.* Since  $I(f) \cap Q = \emptyset$ ,  $Q$  is not contracted by  $f$ . Let  $C \xrightarrow{i} \mathbb{P}^2$  be the embedding map. By Bertini, the pull-back  $f^*l$  of the generic line  $l$  in  $\mathbb{P}^2$  meets  $Q$  transversely, and its trace on  $Q$  equals  $(ig)^*l$ . We get  $g^*\mathcal{O}_C(1) \simeq (ig)^*\mathcal{O}_{\mathbb{P}^2}(1) \simeq \mathcal{O}_Q(d(f))$ .  $\square$

**Definition 2.2.** A plane curve  $Q$  is *invariant* for a rational self-map  $f$  of  $\mathbb{P}^2$  iff  $I(f) \cap Q = \emptyset$  and  $f(Q) = Q$ . Given a regular self-map  $g$  of  $Q$ , a regular (resp. rational) *extension* of  $g$  to  $\mathbb{P}^2$  is a regular (resp. rational) self-map  $f$  of  $\mathbb{P}^2$  that leaves  $Q$  invariant and satisfies  $f|_Q = g$ .

Given a plane curve  $Q$ , the degree  $d$  divisors on  $\mathbb{P}^2$  cut out on  $Q$  a complete linear system. This yields a criterion for a self-map of  $Q$  to extend to  $\mathbb{P}^2$ .

**Proposition 2.3.** *Given a plane curve  $Q$  and an integer  $d \geq \deg(Q)$ , a self-map  $Q \xrightarrow{g} Q$  has regular extensions  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  with  $d(f) = d$  iff  $g^*\mathcal{O}_Q(1) \simeq \mathcal{O}_Q(d)$ .*

*Proof.* Write  $Q = (q = 0)$ , with  $q \in \mathbb{C}_e$ ,  $e = \deg(Q)$ . Let  $i = [s_0, s_1, s_2]$  denote the embedding of  $Q$  in  $\mathbb{P}^2$ , where  $s_j \in \Gamma(Q, \mathcal{O}_Q(1))$  are global sections in  $\mathcal{O}_Q(1)$ .

Then  $ig = [g^*s_0, g^*s_1, g^*s_2]$ ,  $g^*s_j \in \Gamma(Q, g^*\mathcal{O}_Q(1))$ . The map  $\mathbb{C}_d \xrightarrow{\beta} \Gamma(Q, \mathcal{O}_Q(d))$ ,  $\beta(p) = p(s_0, s_1, s_2)$  is an epimorphism. For all  $c \in Q$ ,  $\beta(p)(c) = 0$  iff  $p(c) = 0$ . Consequently,  $p \in \ker(\beta)$  iff  $q$  divides  $p$ .

If  $g^*\mathcal{O}_Q(1) \simeq \mathcal{O}_Q(d)$ , there exist  $p_j \in \mathbb{C}_d$  with  $g^*s_j = \beta(p_j)$ . For all  $c \in Q$ ,  $p_j(c) = 0$  iff  $s_j(g(c)) = 0$ . Since  $s_0, s_1, s_2$  have no common zeros,  $p_0, p_1, p_2$  have no common zeros on  $Q$ . Since  $p_0, p_1, p_2$  and  $q$  have no common zeros, for generic  $r_j \in \mathbb{C}_{d-e}$ ,  $f := [p_0 + qr_0, p_1 + qr_1, p_2 + qr_2]$  is a regular self-map of  $\mathbb{P}^2$ . We have  $ig = [g^*s_0, g^*s_1, g^*s_2] = [\beta(p_0), \beta(p_1), \beta(p_2)] = [\beta(p_0 + qr_0), \beta(p_1 + qr_1), \beta(p_2 + qr_2)] = [p_0 + qr_0, p_1 + qr_1, p_2 + qr_2] \circ [s_0, s_1, s_2] = f \circ i$ .

If  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  satisfies  $f|_Q = g$ , then, by Remark 2.1,  $g^*\mathcal{O}_Q(1) \simeq \mathcal{O}_Q(d(f))$ .  $\square$

*Remark 2.4.* Given any integer  $d > 0$ ,  $Q \xrightarrow{g} Q$  has rational extensions  $f$  with  $d(f) = d$  iff  $g^*\mathcal{O}_Q(1) \simeq \mathcal{O}_Q(d)$ . Assuming this, let  $e = \deg(Q)$ . When  $d < e$ ,  $g$  has a unique rational extension  $f$  with  $d(f) = d$ . When  $d \geq e$ , the regular extensions  $f$  of  $g$  with  $d(f) = d$  form a Zariski open subset of  $\mathbb{C}^{3N}$ , with  $N = \binom{d-e+2}{2}$ .

*Proof.* The first statement follows from the proof of Proposition 2.3. When  $d < e$ , the evaluation map  $\beta$  is an isomorphism. When  $d \geq e$ ,  $\dim(\ker(\beta)) = N$ . Now, let  $f = [p_0, p_1, p_2]$  and  $\tilde{f} = [\tilde{p}_0, \tilde{p}_1, \tilde{p}_2]$  be rational extensions of  $g$  with  $d(f) = d = d(\tilde{f})$ . The map  $Q \xrightarrow{k} \mathbb{P}^1$ ,  $k = \frac{\tilde{p}_j}{p_j}$ , is independent of  $j$ , hence it does not have zeros or poles, i.e. it is constant. We may assume  $k = 1$ , and then  $\tilde{p}_j - p_j \in \ker(\beta)$ .  $\square$

*Remark 2.5.* Given a plane curve  $Q$ , an integer  $d > \deg(Q)$ , a map  $Q \xrightarrow{g} Q$  with  $g^*\mathcal{O}_Q(1) \simeq d\mathcal{O}_Q(1)$ , and a point  $a \in \mathbb{P}^2 \setminus Q$ , there exist regular extensions of  $g$  to  $\mathbb{P}^2$  for which  $a$  is an attracting fixed point.

*Proof.* Write  $Q = (q = 0)$ , with  $q \in \mathbb{C}_e$ . We may assume that  $a = [1, 0, 0]$  and  $q(1, 0, 0) = 1$ . Fix a rational extension of  $g$ ,  $\tilde{f} = [\tilde{p}_0, \tilde{p}_1, \tilde{p}_2]$ , with  $d(\tilde{f}) = d$ . Take  $m \in \mathbb{C}_1$  with  $m(1, 0, 0) = 0$  so that  $p_1$  and  $p_2$  have no common factors, where  $p_j = \tilde{p}_j - \tilde{p}_j(1, 0, 0)x_0^{d-e}q - m^{d-e}q$ . Let  $\mathcal{B} \subset \mathbb{P}^1$  denote the finite set of common zeros of  $p_1$  and  $p_2$ . Note that  $a \in \mathcal{B}$ . Take  $l \in \mathbb{C}_1$  so that  $l(1, 0, 0) = 1$  and  $l(b) \neq 0$  for all  $b \in \mathcal{B}$ . For  $k \in \mathbb{C}$ , put  $p_0 = \tilde{p}_0 - kl^{d-e}q$ , and define  $f_k = [p_0, p_1, p_2]$ . If  $b \in I(f_k)$ , then  $b \in \mathcal{B}$ ,  $q(b) \neq 0$  and  $k = \tilde{p}_0(b)/(l^{d-e}(b)q(b))$ . Therefore, for all but finitely many values of  $k$ ,  $f_k$  is regular. Clearly,  $f_k(a) = a$ . For large  $k$ ,  $a$  is an attracting fixed point of  $f_k$ .  $\square$

A curve  $Q$  with self-maps of degree greater than 1 must be rational or elliptic. Given a rational plane curve  $Q$ , it is easy to find regular self-maps  $f$  of  $\mathbb{P}^2$  for which  $Q$  is invariant and critical. In this paper we discuss the case when  $Q$  is elliptic.

Fix a normalization map  $C \xrightarrow{\nu} Q$ , and a group structure  $\mathbb{C} \xrightarrow{[\cdot]} (C, +, 0)$ . Given  $Q \xrightarrow{g} Q$ , let  $C \xrightarrow{h} C$  be its lifting through  $\nu$ ,  $\nu h = g\nu$ . There exist  $m, n \in \mathbb{C}$  so that  $h[t] = [mt - n]$  for all  $t \in \mathbb{C}$ . The *multiplier*  $m(g) := m$  does not depend on  $\nu$  or  $[\cdot]$ , and  $\deg(g) = |m|^2$ . Let  $\mathbb{Z}(C)$  denote the ring formed by the multipliers of the self-maps of  $C$ , and  $\mathbb{U}(C)$  the group of units in  $\mathbb{Z}(C)$ . Given a rational self-map  $f$  of  $\mathbb{P}^2$  that leaves  $Q$  invariant,  $m_Q(f)$  denotes the multiplier of its restriction to  $Q$ . Given  $0 \neq m \in \mathbb{Z}(C)$ , we wish to construct self-maps of  $\mathbb{P}^2$  that leave  $Q$  invariant, with  $m_Q(f) = m$ . To do this, we must find  $n \in \mathbb{C}$  so that the self-map  $[t] \mapsto [mt - n]$  of  $C$  induces through  $\nu$  a regular self-map  $g$  of  $Q$  with  $g^*\mathcal{O}_Q(1) \simeq \mathcal{O}_Q(|m|^2)$ .

**Definition 2.6.** Given  $0 \neq m \in \mathbb{Z}(C)$ ,  $R_Q(m)$  denotes the set of points  $[n] \in C$  with the property that the self-map  $[t] \mapsto [mt - n]$  of  $C$  induces through  $\nu$  a self-map of  $Q$  that admits rational extensions to  $\mathbb{P}^2$ . Let  $r_Q(m)$  be the cardinality of  $R_Q(m)$ .

With a choice of normalization  $\nu$  and group structure  $[\cdot]$ ,  $R_Q(m)$  is identified with the set of self-map of  $Q$  with multiplier  $m$  that extend rationally to  $\mathbb{P}^2$ .

### 3. SINGULARITIES.

**3.1. Multiplicities.** Denote by  $m_a(A)$  the multiplicity, and by  $T_a(A)$  the tangent of an irreducible curve germ  $(A, a) \subset (\mathbb{C}^2, a)$ .

**Lemma 3.1.** *Let  $(A, a) \subset (\mathbb{C}^2, a)$  and  $(B, b) \subset (\mathbb{C}^2, b)$  be irreducible curve germs, with normalizations  $(\tilde{A}, \tilde{a}) \xrightarrow{\nu_A} (A, a)$  and  $(\tilde{B}, \tilde{b}) \xrightarrow{\nu_B} (B, b)$ . Let  $(\mathbb{C}^2, a) \xrightarrow{f} (\mathbb{C}^2, b)$  be a finite map germ with  $f(A, a) = (B, b)$ . Denote by  $(A, a) \xrightarrow{g} (B, b)$  the restriction of  $f$ , and by  $(\tilde{A}, \tilde{a}) \xrightarrow{\tilde{g}} (\tilde{B}, \tilde{b})$  the lifting of  $g$  through  $\nu_A$  and  $\nu_B$ .*

1. *If  $d\tilde{g}(\tilde{a}) \neq 0$  then  $m_a(A) \leq m_b(B)$ , and  $m_a(A) = m_b(B)$  iff  $df(a)|_{T_a(A)} \neq 0$ .*

2. If  $d\tilde{g}(\tilde{a}) = 0$  and  $m_a(A) \leq m_b(B)$ , then  $df(a)|_{T_a(A)} = 0$ .

*Proof.* We explicite the Puiseux series of  $(A, a)$  and  $(B, b)$ , and then identify the coefficients in the Taylor series at  $\tilde{a}$  of  $\nu_B\tilde{g} = f\nu_A$ .

Choose local coordinates  $(x, y)$  near  $a = (0, 0) \in \mathbb{C}^2$ ,  $(u, v)$  near  $b = (0, 0) \in \mathbb{C}^2$ ,  $s$  near  $\tilde{a} = 0 \in \tilde{A}$ , and  $t$  near  $\tilde{b} = 0 \in \tilde{B}$  so that  $T_a(A) = (y = 0)$ ,  $T_b(B) = (v = 0)$ ,  $\nu_A(s) = (s^m, O_{m+1}(s))$ , and  $\nu_B(t) = (t^p, O_{p+1}(t))$ , with  $m = m_a(A)$ ,  $p = m_b(B)$ . Here,  $O_{m+1}(\cdot)$  denotes a holomorphic function involving terms of degree at least  $m + 1$ . Write  $f(x, y) = (u, v) = (\alpha x + \beta y + O_2(x, y), \gamma x + \delta y + O_2(x, y))$ , and  $\tilde{g}(s) = t = ks + O_2(s)$ . Calculate  $\nu_B\tilde{g}(s) = (k^p s^p + O_{p+1}(s), O_{p+1}(s))$ , and  $f\nu_A(s) = (\alpha s^m + O_{m+1}(s), \gamma s^m + O_{m+1}(s))$ . Therefore,  $\alpha s^m + O_{m+1}(s) = k^p s^p + O_{p+1}(s)$ , and  $\gamma s^m + O_{m+1}(s) = O_{p+1}(s)$ .

Assume first that  $d\tilde{g}(\tilde{a}) \neq 0$ , i.e.  $k \neq 0$ . Then  $p \geq m$ , or else  $k^p s^p = O_{p+1}(s)$ . If  $p = m$ , then  $\alpha = k^p$  and  $\gamma = 0$ , hence  $df(0)(\partial_x) = \alpha\partial_u + \gamma\partial_v = k^m\partial_u$ . If  $p > m$ , then  $\alpha = \gamma = 0$ , hence  $df(0)(\partial_x) = 0$ .

If  $k = 0$  and  $m \leq p$ , then  $\alpha = \gamma = 0$ , hence  $df(0)(\partial_x) = 0$ .  $\square$

**Lemma 3.2.** *With the notations of Lemma 3.1, assume that  $(A, a) = (B, b)$ .*

1. *If  $d\tilde{g}(\tilde{a})$  acts on  $T_{\tilde{a}}(\tilde{A})$  as multiplication by  $k \in \mathbb{C}$ , then  $df(a)$  acts on  $T_a(A)$  as multiplication by  $k^{m_a(A)}$ .*
2. *If  $f^*(A, a) > (A, a)$  and  $m_a(A) > 1$ , then  $d\tilde{g}(\tilde{a}) = 0$  and  $df^2(a) = 0$ .*

*Proof.* The first statement follows from the proof of Lemma 3.1. To show the second statement, choose coordinates  $(x, y)$  near  $a \in \mathbb{C}^2$ , and  $s$  near  $\tilde{a} = 0 \in \tilde{A}$ , so that  $\nu_A(s) = (s^m, s^n + O_{n+1}(s))$ , with  $1 < m < n$  and  $n/m \notin \mathbb{Z}$ . Write  $\tilde{g}(s) = ks + O_2(s)$ , and  $f(x, y) = (u, v)$ , with  $u = \alpha x + \beta y + O_2(x, y)$ , and  $v = \gamma x + \delta y + O_2(x, y)$ .

Since  $(A, 0)$  is defined by  $y^m + O_{m+1}(x, y)$ ,  $f^*(A, 0)$  is defined by  $v^m + O_{m+1}(u, v)$ . Since  $f^*(A, 0) > (A, 0)$ , we get  $v^m + O_{m+1}(u, v) = (y^m + O_{m+1}(x, y))O_1(x, y)$ . Therefore,  $v^m = O_{m+1}(x, y)$ , hence  $v = O_2(x, y)$ , i.e.  $\gamma = \delta = 0$ .

Since  $\nu_A\tilde{g}(s) = (k^m s^m + O_{m+1}(s), k^n s^n + O_{n+1}(s))$ , and  $f\nu_A(s) = (u(s^m, s^n) + O_{n+1}(s), v(s^m, s^n) + O_{n+1}(s))$ , we get  $v(s^m, s^n) = k^n s^n + O_{n+1}(s)$ . Assume  $k \neq 0$ , and write  $v(x, y) = w(x) + yO_1(x, y)$ . Then  $w(s^m) + O_{m+n}(s) = k^n s^n + O_{n+1}(s)$ , hence  $w(s^m) = k^n s^n + O_{n+1}(s)$ . Clearly,  $w \neq 0$ . Write  $w(x) = hx^p + O_{p+1}(x)$ , with  $h \neq 0$  and  $p \geq 2$ . If  $mp < n$ , then  $h = 0$ . If  $mp = n$ , then  $n/m \in \mathbb{Z}$ . If  $mp > n$ , then  $k = 0$ . In any case, we get a contradiction. Therefore,  $k = 0$ .

By (1),  $\alpha = k^m$ , hence  $\alpha = 0$ . It follows that  $df^2(a) = (df(a))^2 = 0$ .  $\square$

**Lemma 3.3.** *Let  $(Q, 0) \subset (\mathbb{C}^2, 0)$  be a curve germ with branches  $(A_i, 0)$  normalized by  $(\tilde{A}_i, \tilde{a}_i) \xrightarrow{\nu_i} (A_i, 0)$ . Let  $(\mathbb{C}^2, 0) \xrightarrow{f} (\mathbb{C}^2, 0)$  be a finite map germ that satisfies  $f(Q, 0) \subset (Q, 0)$ , inducing  $(A_i, 0) \xrightarrow{g_i} (A_{j_i}, 0)$ . Let  $(\tilde{A}_i, \tilde{a}_i) \xrightarrow{\tilde{g}_i} (\tilde{A}_{j_i}, \tilde{a}_{j_i})$  be the lifting of  $g_i$  through  $\nu_i, \nu_{j_i}$ . If  $d\tilde{g}_i(\tilde{a}_i) \neq 0$  for all  $i$ , then  $df(0)|_{T_0(A_i)} \neq 0$  for all  $i$ .*

*Proof.* Since every  $(A_i, 0)$  is pre-periodic for  $f$ , replacing  $f$  by an iterate, we may assume that  $f^2(A_i) = f(A_i)$  for all  $i$ .

When  $f(A_i) \neq A_i$ , we have  $m_0(f(A_i)) = 1 = m_0(A_i)$  and  $df(0)|_{T_0(A_i)} \neq 0$ . Indeed, in this case  $f^*(f(A_i)) \geq f(A_i) + A_i > f(A_i)$ , hence, by Lemma 3.2.2,  $m_0(f(A_i)) = 1$ . By Lemma 3.1.1,  $m_0(A_i) \leq m_0(f(A_i))$ , hence  $m_0(A_i) = 1$ , and then  $df(0)|_{T_0(A_i)} \neq 0$ .

When  $f(A_i) = A_i$ , Lemma 3.1.1 implies that  $df(0)|_{T_0(A_i)} \neq 0$ .  $\square$

**3.2. Torsion.** Let  $\mathbb{C} \xrightarrow{[\cdot]} (C, +, 0)$  be a smooth elliptic curve. Given a divisor  $D$  on  $C$ , let  $s(D) := \sum_{c \in D} c$  (multiplicities are counted in all such sums and products indexed by divisors). By Jacobi's theorem,  $D \simeq 0$  iff  $\deg(D) = 0$  and  $s(D) = 0$ . Given  $0 \neq m \in \mathbb{Z}(C)$ , let  $C_m$  be the kernel of the morphism  $[t] \mapsto [mt]$ , and  $c_m := s(C_m)$ . Note that  $c_m \in C_m \cap C_2$ . When  $m \in \mathbb{Z}$  or  $|m|^2$  is odd,  $c_m = 0$ .

*Remark 3.4.* Let  $D$  be a divisor on  $C$  with  $0 \neq e := \deg(D)$  and  $s(D) = 0$ . Given  $0 \neq m \in \mathbb{Z}(C)$  and  $n \in \mathbb{C}$ , the map  $C \xrightarrow{g} C$ ,  $g[t] = [mt - n]$ , satisfies  $g^*D \simeq |m|^2 D$  iff  $e[\overline{mn}] = ec_m$ . In this case,  $g(C_{e|m|^2}) \subset C_{e|m|^2}$ .

*Proof.* We calculate  $s(g^*D) = e([\overline{mn}] + c_m)$ , hence  $s(g^*D) = 0$  iff  $e[\overline{mn}] = ec_m$ . Such  $g$  satisfies  $e|m|^2[n] = 0$ , hence  $g(C_{e|m|^2}) \subset C_{e|m|^2}$ .  $\square$

Given a divisor  $D$  on  $C$ , write  $D \simeq_\tau 0$  iff there exists a positive integer  $k$  so that  $kD \simeq 0$ . Let  $\tau(D)$  be the smallest such  $k$ . When  $D \not\simeq_\tau 0$ , let  $\tau(D) := +\infty$ . Given  $a, b \in C$ , write  $a \simeq_\tau b$  iff  $(a) - (b) \simeq_\tau 0$ . Let  $\tau(a, b) := \tau((a) - (b))$ .

*Remark 3.5.* Let  $C \xrightarrow{h} C$  be a self-map of a smooth elliptic curve,  $0 \neq m = m(h)$ . Given any points  $a$  and  $b$  in  $C$ ,  $\frac{\tau(a, b)}{|m|^2} \leq \tau(h(a), h(b)) \leq \tau(a, b)$ . If  $|m| > 1$  and  $a$  is pre-periodic for  $h$ , then  $a \simeq_\tau b$  iff  $b$  is pre-periodic for  $h$ .

*Proof.* We may assume that  $h$  is a morphism of  $(C, +, 0)$ . If  $k(a - b) = 0$ , then  $k(h(a) - h(b)) = h(0) = 0$ . If  $k(h(a) - h(b)) = 0$ , then  $k|m|^2(a - b) = 0$ .

Let  $a$  be pre-periodic for  $h$ . Replacing  $h$  by an iterate, we may assume that  $h^2(a) = h(a)$ , hence  $|m^2 - m|^2 a = 0$ . When  $|m| > 1$ , we get  $a \simeq_\tau 0$ .

If  $b \simeq_\tau a$ , then  $b \simeq_\tau 0$ , i.e.  $kb = 0$  for some  $0 < k \in \mathbb{Z}$ . The  $h$ -orbit of  $b$  is contained in the finite set of points  $c \in C$  with  $kc = 0$ , hence  $b$  is pre-periodic.  $\square$

Most elliptic plane curves do not admit self-maps of degree greater than 1:

**Proposition 3.6.** *Let  $Q$  be an elliptic plane curve with normalization  $C \xrightarrow{\nu} Q$ . Given  $Q \xrightarrow{g} Q$  with  $\deg(g) > 1$ , let  $C \xrightarrow{h} C$  be the lifting of  $g$  through  $\nu$ . Then:*

1. *The singular branches of  $Q$  are pre-periodic for  $h$ . If  $a$  and  $b$  are singular branches of  $Q$ , then  $a \simeq_\tau b$ .*
2. *If  $a$  and  $b$  are branches of  $Q$  with  $\nu(a) = \nu(b)$ , then  $a \simeq_\tau b$ .*

*Proof.* Let  $S \subset C$  be the finite set of singular branches of  $Q$ . By Lemma 3.1.(1),  $h(S) \subset S$ . Remark 3.5 finishes the proof of (1).

To prove (2), let  $q = \nu(a) = \nu(b)$ . If  $q$  is pre-periodic for  $g$ , then  $a$  and  $b$  are pre-periodic for  $h$ , hence  $a \simeq_\tau b$ . If  $q$  is not pre-periodic for  $g$ , replacing  $g$  by an iterate we may assume that  $(Q, g(q))$  is irreducible. Then  $h(a) = h(b)$ , hence  $a \simeq_\tau b$ .  $\square$

**3.3. Ordinary singularities.** Let  $Q$  be an elliptic plane curve, with normalization  $C \xrightarrow{\nu} Q$  and group structure  $\mathbb{C} \xrightarrow{[\cdot]} C$ . A germ  $(Q, q)$  is an *ordinary* singularity iff  $m_q(Q) = 2$  and the proper transform of  $(Q, q)$  through the blow-up of  $\mathbb{P}^2$  at  $q$  is smooth. In suitable local coordinates near  $q$ , an ordinary singularity  $(Q, q)$  is either the cusp  $(y^2 = x^3)$  or the node  $(xy = 0)$ .

**Lemma 3.7.** *Given  $C \xrightarrow{\phi} \mathbb{P}^1$ , let  $\psi := \phi\nu^{-1}$  be defined on the smooth locus of  $Q$ . If  $\psi$  is regular on  $Q$ , the following conditions are satisfied:*

1. *If  $[a]$  is a singular branch of  $Q$ , then  $[a]$  is critical for  $\phi$ .*

2. If  $\nu[a] = \nu[b]$ , then  $\phi[a] = \phi[b]$ .

When  $Q$  has ordinary singularities, these conditions imply that  $\psi$  is regular on  $Q$ .

*Proof.* Assume  $\psi$  is regular on  $Q$ , so that  $\psi\nu = \phi$ . If  $\nu[a] = \nu[b]$ , clearly  $\phi[a] = \phi[b]$ . The singular branches of  $Q$  are critical for  $\nu$ , hence they are critical for  $\phi$ .

Assume that  $C$  has ordinary singularities. Given a node  $\nu[a] = \nu[b]$ , let  $\psi_a, \psi_b$  be the restrictions of  $\psi$  to the branches  $[a], [b]$  (respectively). Clearly,  $\psi_a$  and  $\psi_b$  are regular. If  $\phi[a] = \phi[b]$ , then  $\psi_a(\nu[a]) = \psi_b(\nu[a])$ , hence  $\psi$  is regular at  $\nu[a]$ . Given a cusp  $\nu[a]$ , in suitable local coordinates  $t$  near  $[a]$  and  $(x, y)$  near  $\nu[a]$ ,  $\nu(t) = (t^2, t^3)$ . If  $[a]$  is critical for  $\phi$ , then  $\phi(t)$  is a holomorphic function of  $t^2$  and  $t^3$ .  $\square$

**Lemma 3.8.** *Given  $C \xrightarrow{h} C$ , let  $g := \nu h \nu^{-1}$  be defined on the smooth locus of  $Q$ . If  $g$  is regular on  $Q$ , the following conditions are satisfied:*

1. If  $[a]$  is a singular branch of  $Q$ , then  $h[a]$  is also singular.
2. If  $\nu[a] = \nu[b]$ , then  $\nu h[a] = \nu h[b]$ .

When  $Q$  has ordinary singularities, these conditions imply that  $g$  is regular on  $Q$ .

*Proof.* Assume  $g$  is regular on  $Q$ , so that  $g\nu = \nu h$ . If  $\nu[a] = \nu[b]$ , clearly  $\nu h[a] = \nu h[b]$ . If  $[a]$  is a singular branch, Lemma 3.1 (1) implies that  $h[a]$  is also singular.

Assume that  $C$  has ordinary singularities. Given a node  $\nu[a] = \nu[b]$ , let  $g_a, g_b$  be the restrictions of  $g$  to the branches  $[a], [b]$  (respectively). Clearly,  $g_a$  and  $g_b$  are regular. If  $\nu h[a] = \nu h[b]$ , then  $g_a(\nu[a]) = g_b(\nu[a])$ , hence  $g$  is regular at  $\nu[a]$ . If  $h(\nu[a])$  is a cusp, choose, as before, local coordinates  $t$  near  $[a]$  and  $(x, y)$  near  $\nu[a]$ , so that  $\nu(t) = (t^2, t^3)$ . Since  $[a]$  is critical for  $\nu h$ ,  $\nu h(t)$  is a holomorphic function of  $t^2$  and  $t^3$ , hence  $g$  is regular at  $\nu[a]$ .  $\square$

**3.4. Invariants at singular points.** Recall the definition and basic properties of the Weierstrass functions  $\sigma, \zeta$  and  $\mathcal{P}$ . Let  $\Omega$  be a lattice in  $\mathbb{C}$ , with associated elliptic curve  $\mathbb{C} \xrightarrow{[\cdot]}$   $\mathbb{C}/\Omega = (C, +, 0)$ . Fix a positively oriented basis  $(\lambda_1, \lambda_2)$  in  $\Omega$ .

Given two lattice points  $\omega_i = a_i \lambda_1 + b_i \lambda_2 \in \Omega$ ,  $\det(\omega_1, \omega_2) := \begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix}$ .

The odd entire function  $\sigma(t) := t \prod_{0 \neq \omega \in \Omega} (1 - \frac{t}{\omega}) \exp(\frac{t}{\omega} + \frac{1}{2}(\frac{t}{\omega})^2)$  has the translation property  $\frac{\sigma(t+\omega)}{\sigma(t)} = \epsilon(\omega) \exp(\frac{1}{2}\eta(\omega)\omega) \exp(\eta(\omega)t)$ , for all  $t \in \mathbb{C}$  and  $\omega \in \Omega$ . Here,

$\epsilon(\omega) = \begin{cases} 1, & \text{when } \omega \in 2\Omega \\ -1, & \text{when } \omega \notin 2\Omega \end{cases}$ , and  $\Omega \xrightarrow{\eta} \mathbb{C}$  is a group morphism that satisfies the

Legendre relation  $\begin{vmatrix} \eta(\omega_1) & \omega_1 \\ \eta(\omega_2) & \omega_2 \end{vmatrix} = 2\pi i \det(\omega_1, \omega_2)$ .

The odd meromorphic function  $\zeta := (\log \sigma)' = \frac{1}{t} + \sum_{0 \neq \omega \in \Omega} \left( \frac{1}{t-\omega} + \frac{1}{\omega} + \frac{t}{\omega^2} \right)$  has the translation property  $\zeta(t+\omega) = \zeta(t) + \eta(\omega)$ , for all  $t \in \mathbb{C}$  and  $\omega \in \Omega$ .

The function  $\mathcal{P} := \zeta' = -\frac{1}{t^2} - \sum_{0 \neq \omega \in \Omega} \left( \frac{1}{(t-\omega)^2} - \frac{1}{\omega^2} \right)$  is even and  $\Omega$ -periodic.

Given a divisor  $A$  on  $\mathbb{C}$ , let  $[A] := \sum_{a \in A} ([a])$ , and  $s(A) := \sum_{a \in A} a$ . When  $[A] \simeq 0$ , the meromorphic function  $\Phi_A(t) := \exp(\eta(s(A))t) \prod_{a \in A} \sigma(t-a)$  is  $\Omega$ -periodic, inducing

an elliptic function  $C \xrightarrow{\phi_A} \mathbb{P}^1$ , with principal divisor  $(\phi_A) = [A]$ .

**Lemma 3.9.** *Let  $D$  be an effective divisor on  $C = \mathbb{C}/\Omega$ , with  $\deg(D) := e$  and  $s(D) = 0$ . Given  $0 \neq m \in \mathbb{Z}$  and  $n \in \mathbb{C}$  with  $emn \in \Omega$ , consider the self-map*

$C \xrightarrow{h} C$ ,  $h[t] := [mt - n]$ , and the meromorphic function  $\mathbb{C} \xrightarrow{\Phi} \mathbb{P}^1$ ,  $\Phi(t) := \exp(t\eta(emn)) \prod_{a \in \widehat{D}} \frac{\sigma(mt - n - a)}{\sigma m^2(t - a)}$ . Then  $\Phi$  is  $\Omega$ -periodic, inducing on  $C$  an elliptic function  $C \xrightarrow{\phi} \mathbb{P}^1$  with  $(\phi) = h^*D - m^2D$ .

*Proof.* Let  $\lambda = emn$ , and fix  $\omega \in \Omega$ . Since  $m \in \mathbb{Z}$  and  $s(\widehat{D}) = 0$ , the translation property of  $\sigma$  and the Legendre identity imply that

$$\frac{\Phi(t + \omega)}{\Phi(t)} = \exp(\omega\eta(\lambda)) \prod_{a \in \widehat{D}} \frac{\epsilon(m\omega) \exp(\frac{1}{2}m\omega\eta(m\omega)) \exp((mt - n - a)\eta(m\omega))}{\epsilon(m^2\omega) \exp(\frac{1}{2}m^2\omega\eta(\omega)) \exp(m^2(t - a)\eta(\omega))} = \exp(\omega\eta(\lambda) - \lambda\eta(\omega)) \exp((m^2 - m)s(\widehat{D})\eta(\omega)) = 1. \quad \square$$

Let  $Q$  be an elliptic plane curve of degree  $e$ . Choose a normalization  $C \xrightarrow{\nu} Q$ , and a group structure  $\mathbb{C} \xrightarrow{[\cdot]} \mathbb{C}/\Omega = (C, +, 0)$  so that  $s(\nu^*\mathcal{O}_Q(1)) = 0$ . Given a line  $l$  in  $\mathbb{P}^2$ , let  $l_C := (i\nu)^*l$ , where  $Q \xrightarrow{i} \mathbb{P}^2$  is the inclusion map. Given a divisor  $D$  on  $C$  with  $s(D) = 0$ ,  $\widehat{D}$  denotes a divisor on  $\mathbb{C}$  with  $[\widehat{D}] = D$  and  $s(\widehat{D}) = 0$ .

**Definition 3.10.** Given two points  $a, b$  in  $\mathbb{C}$  and a line  $l$  in  $\mathbb{P}^2$  not passing through  $\nu[a]$  or  $\nu[b]$ , define

$$\alpha(a, l) := \sum_{t \in \widehat{l}_C} \zeta(a - t) \quad \text{and} \quad \alpha(a, b, l) := \prod_{t \in \widehat{l}_C} \frac{\sigma(a - t)}{\sigma(b - t)}.$$

The translation properties of  $\zeta$  and  $\sigma$  imply that  $\alpha(a, l)$  and  $\alpha(a, b, l)$  do not depend on the choice of divisor  $\widehat{l}_C$  on  $\mathbb{C}$  satisfying  $[\widehat{l}_C] = l_C$  and  $s(\widehat{l}_C) = 0$ .

*Remark 3.11.* (3.4) The translation properties of  $\alpha$  come from those of  $\zeta$  and  $\sigma$ . Given  $\omega, \lambda \in \Omega$ ,  $\alpha(a + \omega, l) - \alpha(a, l) = e\eta(\omega)$ ,  $\frac{\alpha(a + \omega, b + \omega, l)}{\alpha(a, b, l)} = \exp(e\eta(\omega)(a - b))$ , and  $\frac{\alpha(a + \omega, b + \lambda, l)}{\alpha(a, b, l)} = \left(\frac{\epsilon(\omega)}{\epsilon(\lambda)}\right)^e \exp\left(\frac{e}{2}(\eta(\omega)\omega - \eta(\lambda)\lambda)\right) \exp(e(\eta(\omega)a - \eta(\lambda)b))$ .

Recall that  $\check{\mathbb{P}}^2$  denotes the space of lines in  $\mathbb{P}^2$ . Given  $p \neq q$  in  $\mathbb{P}^2$ ,  $L(p, q)$  is the line passing through  $p$  and  $q$ . Given  $p \in \mathbb{P}^2$ ,  $\check{p} := \{l \in \check{\mathbb{P}}^2 : p \in l\}$ .

**Proposition 3.12.** When  $\nu[a] = \nu[b]$ ,  $\alpha(a, b, l)$  is independent of  $l \in \check{\mathbb{P}}^2 \setminus \nu[a]$ . When  $[a]$  is a singular branch of  $Q$ ,  $\alpha(a, l)$  is independent of  $l \in \check{\mathbb{P}}^2 \setminus \nu[a]$ .

*Proof.* Fix two lines  $l_0 \neq l_\infty$  in  $\mathbb{P}^2$  not passing through  $q := \nu[a]$ , and let  $p := l_0 \cap l_\infty$ ,  $l_1 := L(p, q)$ . Let  $C \xrightarrow{\phi} \check{p}$  be the central projection  $\phi[x] := L(p, \nu[x])$ . Given  $l \in \check{p}$ , a local study near the intersection of  $Q$  with  $l$  shows that  $\phi^*(l) = l_C$ . Pick coordinates in  $\check{p} = \mathbb{P}^1$  with  $l_0 = 0$  and  $l_\infty = \infty$ . Let  $A := \widehat{(l_0)_C} - \widehat{(l_\infty)_C}$ . Clearly,  $\deg(A) = 0$  and  $s(A) = 0$ . Since  $(\phi_A) = (l_0)_C - (l_\infty)_C = (\phi)$ , there exists  $0 \neq k \in \mathbb{C}$  with  $\phi = k\phi_A$ .

If  $\nu[b] = q$ , then  $\phi[a] = \phi[b] = l_1$ , hence  $\frac{\alpha(a, b, l_0)}{\alpha(a, b, l_\infty)} = \frac{\phi_A[a]}{\phi_A[b]} = \frac{\phi[a]}{\phi[b]} = 1$ .

If  $[a]$  is a singular local branch of  $Q$ , then  $\phi^*(l_1) = (l_1)_C = \nu^*i^*l_1 \geq \nu^*q \geq 2[a]$ , i.e.  $\phi'[a] = 0$ . We get  $\alpha(a, l_0) - \alpha(a, l_\infty) = \frac{\phi'_A}{\phi_A}[a] = \frac{\phi'}{\phi}[a] = 0$ .  $\square$

**Definition 3.13.** Let  $0 \neq m \in \mathbb{Z}$  and  $[n] \in C_{em}$ . Given a singular branch  $[a]$  of  $Q$  so that  $[ma - n]$  is also singular, define

$$\alpha_{m,n}(a) := m\alpha(ma - n) - m^2\alpha(a) + \eta(emn).$$

Given two branches  $[a], [b]$  of  $Q$  with  $\nu[a] = \nu[b]$  and  $\nu[ma - n] = \nu[mb - n]$ , define

$$\alpha_{m,n}(a, b) := \frac{\alpha(ma - n, mb - n)}{\alpha^{m^2}(a, b)} \cdot \exp((a - b)\eta(emn)).$$

Clearly,  $\alpha_{m,n}(a)$  and  $\alpha_{m,n}(a, b)$  are well-defined, and depend only on  $[a], [b], [n]$ . Moreover,  $\alpha_{m,n}(a, b)\alpha_{m,n}(b, a) = 1$ .

**Theorem 3.14.** *Let  $Q$  be an elliptic plane curve of degree  $e$ . Choose a normalization  $C \xrightarrow{\nu} Q$ , and a group structure  $\mathbb{C} \xrightarrow{[\cdot]} \mathbb{C}/\Omega = (C, +, 0)$  so that  $s(\nu^*\mathcal{O}_Q(1)) = 0$ . Assume that  $Q$  has ordinary singularities. Then, given  $0 \neq m \in \mathbb{Z}$ ,  $R_Q(m)$  is formed by the points  $[n] \in C_{em}$  with the following properties:*

1. *If  $[a]$  is a singular branch of  $Q$ , then  $[ma - n]$  is singular and  $\alpha_{m,[n]}[a] = 0$ .*
2. *If  $\nu[a] = \nu[b]$ , then  $\nu[ma - n] = \nu[mb - n]$  and  $\alpha_{m,[n]}([a], [b]) = 1$ .*

*Proof.* Given  $0 \neq m \in \mathbb{Z}$  and  $[n] \in C$ , let  $h[t] := [mt - n]$ , and define  $g := \nu h \nu^{-1}$  on the smooth locus of  $Q$ . Fix a generic line  $l$  in  $\mathbb{P}^2$ , and let  $l_Q := i^*l$ ,  $l_C := \nu^*l_Q$ .

If  $[n] \in R_Q(m)$ ,  $g$  extends rationally to  $\mathbb{P}^2$ . By Remark 2.4,  $g^*l_Q \simeq m^2l_Q$ . Since  $g\nu = \nu h$ ,  $h^*l_C \simeq m^2l_C$ . By Remark 3.4,  $[n] \in C_{em}$ . By Lemma 3.8, if  $[a]$  is a singular then  $h[a]$  is singular. If  $\nu[a] = \nu[b]$ , then  $\nu h[a] = \nu h[b]$ . Now, there exists  $Q \xrightarrow{\psi} \mathbb{P}^1$  with  $(\psi) = g^*l_Q - m^2l_Q$ , hence  $(\psi\nu) = h^*l_C - m^2l_C$ . By Lemma 3.9 applied to  $l_C$ , we may assume that  $\psi\nu$  is induced by the  $\Omega$ -periodic function  $\Phi(t) := \exp(t\eta(emn)) \prod_{a \in \widehat{l}_C} \frac{\sigma(mt - n - a)}{\sigma^{m^2}(t - a)}$ . By Lemma 3.7, if  $[a]$  is a singular, then

$\Phi'(a) = 0$ , i.e.  $\alpha_{m,[n]}[a] = 0$ . If  $\nu[a] = \nu[b]$ , then  $\Phi(a) = \Phi(b)$ , i.e.  $\alpha_{m,[n]}([a], [b]) = 1$ .

Assume that  $[n] \in C_{em}$  satisfies the two conditions. Since the singularities of  $Q$  are ordinary,  $g$  is regular, by Lemma 3.8. By Remark 3.4,  $h^*l_C \simeq m^2l_C$ . Let  $C \xrightarrow{\phi} \mathbb{P}^1$  be induced by  $\Phi$ , with  $\Phi$  defined as above. By Lemma 3.7,  $\psi := \phi\nu^{-1}$  is regular on  $Q$ . Since  $(\phi) = h^*l_C - m^2l_C$ , we get  $(\psi) = g^*l_Q - m^2l_Q$ , hence  $g^*l_Q \simeq m^2l_Q$ . Remark 2.4 finishes the proof.  $\square$

**Remark 3.15.** Given any elliptic plane curve  $Q$ ,  $R_Q(m) \subset C_{em}$ , and the points of  $R_Q(m)$  satisfy the properties stated in Theorem 3.14.

As an application of Theorem 3.14, we have the following.

**Corollary 3.16.** *With the notations of Theorem 3.14, assume that  $Q$  is singular, and that the singularities of  $Q$  are ordinary cusps. If  $0 \neq k \in \mathbb{Z}$  has the property that  $k[a] = 0$  for all cusps  $[a]$ , then, given  $m \in \mathbb{Z}$  with  $|m| > 1$ ,  $R_Q(m) \subset R_Q(m+k)$ .*

*Proof.* Fix  $[n] \in R_Q(m)$ . Given a cusp  $[a_0]$ , let  $a_{j+1} := ma_j - n$ , for  $j \geq 0$ . Since  $[a_j]$  is a cusp for all  $j$ ,  $[a_s] = [a_r]$  for some  $0 \leq s < r$ . Clearly,  $a_j = m^j a_0 - \frac{m^j - 1}{m - 1} n$ .

By Remark 3.5, there exists such  $k$ . Let  $\omega_j := ka_j$ ,  $\omega := kn$ , and  $\alpha_j := \frac{k}{e}\alpha(a_j)$ . Since  $\alpha_{m,n}[a_j] = 0$ , we get  $\alpha_{j+1} = m\alpha_j - \eta(\omega)$ . By Remark 3.4,  $k\eta(a_r - a_s) = \alpha_r - \alpha_s = (m^r - m^s)(\alpha_0 - \frac{\eta(\omega)}{m - 1})$ , hence  $\alpha_0 = \eta(\omega_0)$ .

We calculate  $\frac{k}{e(m+k)}\alpha_{m+k,n}(a_0) = \frac{k}{e}\alpha(a_1 + \omega_0) - (m+k)\alpha_0 + \eta(\omega) = \alpha_1 + k\eta(\omega_0) - (m+k)\eta(\omega_0) + \eta(\omega) = 0$ .  $\square$

#### 4. INVARIANT CRITICAL COMPONENTS.

In this section we prove that, given an elliptic plane curve  $Q$ , there do not exist self-maps of  $\mathbb{P}^2$  for which  $Q$  is critical and invariant.



**Theorem 4.1.** *Given a plane curve  $Q$ , the following are equivalent:*

1. *There exist self-maps of  $\mathbb{P}^2$  for which  $Q$  is invariant and critical.*
2. *The curve  $Q$  is rational.*

*Proof.* Assume that  $Q$  is invariant and critical for a rational self-map  $f$  of  $\mathbb{P}^2$ . By Remark 2.1,  $Q$  is rational or elliptic. Assume that  $Q$  is elliptic. Fix a normalization map  $C \xrightarrow{\nu} Q$ , and consider the incidence surface  $S := \{(c, l) \in C \times \check{\mathbb{P}}^2 : \nu(c) \in l\}$ , with canonical projections  $S \xrightarrow{p} C$ ,  $p(c, l) = c$ , and  $S \xrightarrow{\pi} \check{\mathbb{P}}^2$ ,  $\pi(c, l) = l$ . Clearly,  $S$  is smooth,  $S \xrightarrow{p} C$  is a ruled surface, and  $\pi$  is finite, with  $\deg(\pi) = \deg(Q) := e$ . Fix  $r \in \mathbb{P}^2$ , and let  $L$  be the graph of the projection  $C \ni c \mapsto L(r, \nu(c)) \in \mathbb{P}^2$ . For generic  $r$ , we have  $\pi^*r = L$ , hence  $L^2 = e$ .

By Lemma 3.1 and Lemma 3.2,  $Q$  cannot have singular branches, hence the curve  $\check{Q}$  dual to  $Q$  has degree  $2e$  in  $\mathbb{P}^2$ . Let  $T$  be the graph of the map dual to  $\nu$ ,  $C \ni c \mapsto T_{\nu(c)}c \in \mathbb{P}^2$ . We see that  $LT = \deg(\check{Q}) = 2e$ .

Given  $q \in Q$ , the differential  $df(q)$  has 1-dimensional kernel  $X_q$ , which we identify with the line in  $\mathbb{P}^2$  whose tangent space at  $q$  is  $X_q$ . Let  $X$  be the graph of the map  $C \ni c \mapsto X_{\nu(c)} \in \mathbb{P}^2$ . Clearly,  $XT = 0$ .

Now,  $L$ ,  $T$  and  $X$  are sections in the ruled surface  $S \xrightarrow{p} C$ . Let  $H$  be a minimal section of  $S$ , with  $H^2 := n$ , and denote by  $F$  the class of the fibers of  $p$ , modulo the numerical equivalence  $\sim$  of divisors on  $S$ . For some non-negative integers  $l, t, x$ , we have  $L \sim H + lF$ ,  $T \sim H + tF$  and  $X \sim H + xF$ , with  $n + 2l = e$ ,  $n + l + t = 2e$  and  $n + x + t = 0$ . We get  $n = e - 2l$ ,  $t = l + e$  and  $x = l - 2e$ . Since  $t > 0$ , we have  $T \neq H$ , hence  $-x = n + t = TH \geq 0$ . Therefore,  $x = 0$ ,  $l = 2e$  and  $n = -3e$ . Since  $l > 0$ , we have  $L \neq H$ , hence  $LH \geq 0$ . But  $LH = -e < 0$ , a contradiction.

Vice versa, assume that  $Q$  is rational of degree  $e$ , with normalization  $\mathbb{P}^1 \xrightarrow{\nu} Q$ . Fix a rational map  $\mathbb{P}^2 \xrightarrow{\phi} \mathbb{P}^1$  of algebraic degree  $d \geq 2$ , that satisfies  $I(\phi) \cap Q = \emptyset$ . The rational self-map  $f := \nu\phi$  of  $\mathbb{P}^2$  is degenerate with image  $Q$ , has  $d(f) = de \geq 2e$ , and satisfies  $I(f) \cap Q = \emptyset$ . Adding to the components of  $f$  generic multiples of  $h^2$ , where  $h$  is the homogeneous equation of  $Q$ , we obtain regular self-maps of  $\mathbb{P}^2$  for which  $Q$  is invariant and critical.  $\square$

## 5. JULIA SET.

A domain  $D$  in a complex manifold  $M$  is *hyperbolically embedded* in  $M$  iff for any two sequences  $(x_n), (y_n)$  of points in  $D$ , if  $x_n \xrightarrow[n]{n} x \in M$ ,  $y_n \xrightarrow[n]{n} y \in M$ , and  $d_D(x_n, y_n) \xrightarrow[n]{n} 0$ , then  $x = y$ . Here,  $d_D$  denotes the Kobayashi pseudo-distance.

Given two complex spaces  $X$  and  $Y$ , let  $\text{Hol}(X, Y)$  denote the space of holomorphic maps from  $X$  to  $Y$ , endowed with the compact-open topology. In [9] it is shown that if  $D \subset M$  is relatively compact and hyperbolically embedded, then, given any complex manifold  $U$ ,  $\text{Hol}(U, D)$  is relatively compact in  $\text{Hol}(U, M)$ .

An irreducible complex space  $X$  is *Brody hyperbolic* iff it admits no non-constant holomorphic maps  $\mathbb{C} \rightarrow X$ . We will use Green's results on the hyperbolicity of the complement of a hypersurface.

**Theorem 5.1** ([7]). *Let  $M$  be a compact complex manifold and  $H \subset M$  a hypersurface, with irreducible components  $H_i$ ,  $1 \leq i \leq m$ . Assume that:*

1.  *$M \setminus H$  is Brody hyperbolic;*
2.  *$\bigcap_{i \in I} H_i \setminus \bigcup_{j \in J} H_j$  is Brody hyperbolic for every partition  $I \sqcup J = \{1, \dots, m\}$ .*

Then  $M \setminus H$  is completely hyperbolic, and hyperbolically embedded in  $M$ .

**Theorem 5.2** ([6], [10]). *Let  $M$  be a projective manifold and  $\mathbb{C}^r \xrightarrow{f} M$  a holomorphic map that omits at least  $\dim(M) + 2$  ample hypersurfaces in  $M$ . Then the image of  $f$  is contained in some hypersurface of  $M$ .*

A quasi-projective irreducible curve  $Q_0$  is *hyperbolic* iff its normalization  $\tilde{Q}_0$  is a hyperbolic Riemann surface.  $Q_0$  is hyperbolic iff there are no nonconstant maps from  $\mathbb{C}$  to  $Q_0$ . We will use the following result.

**Theorem 5.3** ([1]). *Let  $C$  be a plane curve with at least four irreducible components, at least one of them irrational. Then there are at most finitely many irreducible curves  $B \subset \mathbb{P}^2$  with the property that  $B \setminus C$  is not hyperbolic.*

Given a self-map  $\mathbb{P}^n \xrightarrow{f} \mathbb{P}^n$ ,  $J(f)$  denotes its Julia set. When  $n = 1$ , all but at most two points have the property that  $J(f)$  is contained in the closure of their backward orbit. When  $n = 2$ , it may happen that no point has this property. (For example, when  $f$  has a chaotic completely invariant line.)

**Theorem 5.4.** *If an elliptic plane curve  $Q$  is invariant for a map  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  with  $d(f) > 1$ , then  $J(f)$  equals the closure of the backward  $f$ -orbit of any point on  $Q$ .*

*Proof.* For  $n \geq 0$ , let  $Q_n := f^{-n}(Q)$ . Denote by  $\mathcal{J}$  the closure of  $\bigcup_{n \geq 0} Q_n$ . Since  $Q$  is elliptic,  $\mathcal{J}$  is the closure of the backward orbit of any point on  $Q$ , and  $\mathcal{J} \subset J(f)$ . We need to show that the sequence of iterates of  $f$  is normal on  $\Omega = \mathbb{P}^2 \setminus \mathcal{J}$ . It suffices to find some  $n_0$  such that  $\mathbb{P}^2 \setminus Q_{n_0}$  is hyperbolically embedded in  $\mathbb{P}^2$ .

The irreducible components of  $Q_n$  are mapped by  $f^n$  to  $Q$ , hence they are irrational. Note that  $Q_n$  has at least  $n + 1$  irreducible components. This can easily be seen by induction, since no irrational plane curve is completely  $f$ -invariant ([5]).

By Theorem 5.3, we deduce that when  $n \geq 3$  there are at most finitely many irreducible curves  $B \subset \mathbb{P}^2$  with the property that  $B \setminus Q_n$  is not hyperbolic.

We show that for every irreducible curve  $B \subset \mathbb{P}^2$  there exists some positive integer  $n$  so that  $B \setminus Q_n$  is hyperbolic. Assume this is not true, and let  $\mathcal{B}$  denote the finite set of irreducible curves  $B \subset \mathbb{P}^2$  with the property that  $B \setminus Q_n$  is not hyperbolic for all  $n \geq 0$ . Since  $f(B \setminus Q_{n+1}) \subset f(B) \setminus Q_n$ ,  $f$  acts on  $\mathcal{B}$ . Pick an  $f$ -periodic curve  $B \in \mathcal{B}$ . Replacing  $f$  by an iterate, we may assume that  $f(B) = B$ . Let  $B \xrightarrow{g} B$  denote the map induced by  $f$ . Note that  $B$  is rational, since any Zariski open subset of an irrational curve is hyperbolic. Let  $\mathbb{P}^1 \xrightarrow{\nu} B$  be a normalization, and  $\mathbb{P}^1 \xrightarrow{\tilde{g}} \mathbb{P}^1$  the lifting of  $g$  through  $\nu$ . The backward  $\tilde{g}$ -orbit of  $\nu^{-1}(B \cap Q)$  contains at most two points,  $\mathbb{P}^1 \setminus \{\text{three points}\}$  being hyperbolic. Replacing  $f$  by an iterate, we may assume that the points of  $\nu^{-1}(B \cap Q)$  are completely  $\tilde{g}$ -invariant, hence critical for  $\tilde{g}$ .

Now, for all  $p \in B \cap Q$ ,  $T_p(B) \neq T_p(Q)$ . Indeed, let  $(\tilde{B}, p)$  be a local irreducible component of  $(B, p)$ , and  $(\tilde{Q}, p)$  one of  $(Q, p)$ . Since  $g(\tilde{B}, p) = (\tilde{B}, p)$  and  $d\tilde{g}(\nu^{-1}(p)) = 0$ , Lemma 3.1 (2) implies that  $df(p)|_{T_p(B)} = 0$ . Since  $f(Q, p) \subset (Q, p)$  and  $Q$  is elliptic, Lemma 3.3 implies that  $df(p)|_{T_p(\tilde{Q})} \neq 0$ . Consequently,  $T_p(\tilde{Q}) \neq T_p(\tilde{B})$ .

The local intersection number of  $B$  and  $Q$  at any  $p \in B \cap Q$  is then  $m_p(B, Q) = m_p(B)m_p(Q)$ . By Bézout,  $\deg(B)\deg(Q) = \sum_{p \in B \cap Q} m_p(B)m_p(Q)$ . For all  $p \in B$ ,  $m_p(B) < \deg(B)$ . Given two distinct points  $p$  and  $q$  in  $B$ ,  $m_p(B) + m_q(B) \leq \deg(B)$ .

Recall that  $B \cap Q$  consists of either one or two points. In both cases we immediately get a contradiction.

This means that  $\mathcal{B}$  is empty. It follows that, for some large enough  $n_0$ ,  $B \setminus Q_{n_0}$  is hyperbolic for all irreducible curves  $B \subset \mathbb{P}^2$ .

By Theorems 5.2 and 5.1,  $\mathbb{P}^2 \setminus Q_{n_0}$  is hyperbolically embedded in  $\mathbb{P}^2$ .  $\square$

We will use the following simple remark, to generate computer pictures of Julia sets as basin boundaries.

*Remark 5.5.* Assume that  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  leaves invariant an elliptic plane curve  $Q$  and a line  $L$ . If  $f$  has an attracting point  $a \in L$  and if some point  $r \in Q \cap L$  is repelling for the restriction of  $f$  to  $L$ , then  $J(f)$  equals the boundary of the basin of  $a$ .

*Proof.* Denote by  $L \xrightarrow{\phi} L$  the restriction of  $f$ , and by  $\mathcal{A}$  the basin of  $a$ . It is clear that  $J(f) \supset \partial\mathcal{A}$ . Since  $r \in J(\phi)$ , we deduce that  $r \in \partial\mathcal{A}$ . The backward  $f$ -orbit of  $r$  is dense in  $J(f)$ , hence  $J(f) \subset \partial\mathcal{A}$ .  $\square$

## 6. SMOOTH CUBICS.

**6.1. Invariance.** Given a smooth cubic  $C$ , pick the group structure  $\mathbb{C} \xrightarrow{[\cdot]} (C, +, 0)$  so that  $s(\mathcal{O}_C(1)) = 0$ . Three points on  $C$  are collinear when their sum is 0, and 0 is a flex of  $C$ .

**Proposition 6.1.** *Given a smooth plane cubic  $C$  and a multiplier  $0 \neq m \in \mathbb{Z}(C)$ ,  $R_C(m) = \{[n] \in C : 3[\overline{mn}] = c_m\}$ , hence  $r_C(m) = 9|m|^2$ . Given  $[n] \in R_C(m)$ , the self-map  $C \xrightarrow{g} C$ ,  $g[t] = [mt - n]$ , admits regular extensions to  $\mathbb{P}^2$ . Moreover, the flexes of  $C$  are pre-periodic for  $g$ .*

*Proof.* The first statement follows from Remark 2.4 and Remark 3.4. Since  $C_3 \cup g(C_{3|m|^2}) \subset C_{3|m|^2}$ , the flexes of  $C$  are pre-periodic for  $g$ . When  $|m|^2 \geq 3$ , the generic extension of  $g$  is regular. Lemma 6.2 below concludes the proof when  $|m|^2 = 2$ .  $\square$

**6.2. Algebraic degree 2.** We find in this subsection the self-maps of  $\mathbb{P}^2$  of algebraic degree 2 that leave invariant a smooth cubic.

**Lemma 6.2.** *If a rational self-map  $f$  of  $\mathbb{P}^2$  with  $d(f) = 2$  leaves invariant a smooth plane cubic, then  $f$  is regular.*

*Proof.* Let  $C$  be an  $f$ -invariant smooth cubic, and  $C \xrightarrow{g} C$  the restriction of  $f$ .

We see that  $f$  does not contract curves. Indeed, assume that  $E$  is an irreducible plane curve so that  $f(E \setminus I(f))$  is a point  $q \in \mathbb{P}^2$ . Since  $E \cap C \neq \emptyset$ ,  $q \in C$ . Since  $E$  is contracted by  $f$ ,  $\deg(E) \leq d(f)$ , hence  $E$  is a line or a conic. Given  $p \in E \cap C$ ,  $df(p)|_{T_p E} = 0$  and  $df(p)|_{T_p C} \neq 0$ . Therefore,  $E$  meets  $C$  transversely. Since  $E \cap C \subset g^{-1}(q)$ , we get  $2 = \deg(g) \geq \deg(E) \deg(C) \geq 3$ , a contradiction.

Clearly,  $f(\mathbb{P}^2 \setminus I(f))$  is Zariski dense in  $\mathbb{P}^2$ . (Otherwise,  $f(\mathbb{P}^2 \setminus I(f)) = C$ . Given a line  $L$  in  $\mathbb{P}^2$ ,  $f$  would induce an isomorphism from  $L$  onto  $C$ .)

Let  $Q$  be an irreducible component of  $f^*C - C$ . Then  $\deg(Q) \leq 3$ . Since  $f$  induces a surjective map from  $Q$  to  $C$ ,  $Q$  is irrational. Therefore,  $Q$  is a smooth cubic, and  $f^*C = C + Q$ . The support of  $f^*C$  must contain  $I(f)$ , hence  $Q \neq C$  and  $I(f) \subset Q$ . Let  $C \xrightarrow{i} \mathbb{P}^2$  and  $Q \xrightarrow{j} \mathbb{P}^2$  be the inclusion maps, and  $Q \xrightarrow{h} C$  the restriction of  $f$ . Given a line  $L$  in  $\mathbb{P}^2$ , the divisors  $j^*f^*L$  and  $h^*i^*L$  coincide on

$Q \setminus I(f)$ . When  $L \cap h(I(f)) = \emptyset$ , the support of  $h^*i^*L$  does not meet  $I(f)$ , hence  $j^*f^*L = h^*i^*L + \sum_{i \in I(f)} n_i(L)(i)$ , with  $0 < n_i(L) \in \mathbb{Z}$ .

Assume  $I(f) \neq \emptyset$ . Taking degrees, we get  $\deg(h) = 1$  and  $\sum_{i \in I(f)} n_i(L) = 3$ . Now, fix a point  $q \in C \setminus (f(C \cap Q) \cup h(I(f)))$ , and two lines  $L$  and  $M$  in  $\mathbb{P}^2$  that pass through  $q$  and do not meet  $h(I(f))$ . Then  $(f^*L) \cdot (f^*M) \geq g^*(q) + h^*(q) + \sum_{i \in I(f)} (i)$ , hence  $I(f)$  consists of one point,  $I(f) = \{i\}$ , and  $f^*L$  and  $f^*M$  meet transversely at  $i$ . Since  $n_i(L) = 3 = n_i(M)$ , we get  $T_i(f^*L) = T_i(Q) = T_i(f^*M)$ , hence  $f^*L$  and  $f^*M$  are tangent at  $i$ . This contradiction shows that  $f$  is regular.  $\square$

Given  $C \subset \mathbb{P}^2$ , let  $\text{Aut}_C(\mathbb{P}^2) := \{A \in \text{Aut}(\mathbb{P}^2) : A(C) = C\}$ .

**Lemma 6.3.** *Let  $\mathcal{C}$  be the set of pairs  $(f, C)$ , where  $f$  is a regular self-map of  $\mathbb{P}^2$  with  $d(f) = 2$ , and  $C$  is an  $f$ -invariant smooth plane cubic. Let  $\text{Aut}(\mathbb{P}^2)$  act by conjugation on  $\mathcal{C}$ ,  $A \star (f, C) = (AfA^{-1}, AC)$ . Then  $\text{card}(\mathcal{C}/\text{Aut}(\mathbb{P}^2)) = 20$ .*

*Proof.* Given  $\lambda$  in the Siegel figure, pick a cubic  $C_\lambda \simeq C/(\mathbb{Z} + \mathbb{Z}\lambda)$ . Fix  $(f, C) \in \mathcal{C}$ . There exist  $\lambda$  and  $A \in \text{Aut}(\mathbb{P}^2)$  with  $A(C) = C_\lambda$ , hence we may assume  $C = C_\lambda$ . Let  $\mathcal{F}$  be the set of flexes of  $C$ . Pick a group structure  $\mathbb{C} \xrightarrow{[1]} (C, +, 0)$  with  $[0] \in \mathcal{F}$ . Let  $m := m_C(f)$  and  $[n] := -f[0]$ . Since  $|m|^2 = 2$ ,  $\lambda \in \{i, i\sqrt{2}, \frac{1+i\sqrt{7}}{2}\}$ , and  $m \in \mathcal{M}(\lambda)$ , where  $\mathcal{M}(i) = \{\pm 1 \pm i\}$ ,  $\mathcal{M}(i\sqrt{2}) = \{\pm i\sqrt{2}\}$ , and  $\mathcal{M}(\frac{1+i\sqrt{7}}{2}) = \{\frac{\pm 1 \pm i\sqrt{7}}{2}\}$ . By Remark 3.4,  $[n] \in \mathcal{N}(m) := ([\frac{1}{2}] + \mathcal{F}) \cup ([\frac{1-m}{2}] + \mathcal{F})$ . Identify with  $\mathcal{N}(m)$  the set of self-maps of  $\mathbb{P}^2$  of algebraic degree 2 that leave  $C$  invariant and have multiplier  $m$  on  $C$ . Similarly,  $\text{Aut}_C(\mathbb{P}^2)$  is identified with  $\mathcal{A} := \mathbb{U}(C) \times \mathcal{F}$ ,  $A = (m_C(A), -A[0])$ . Now,  $\mathcal{A}$  acts by conjugation on  $\mathcal{N}(m)$ ,  $(u, [v]) \star [n] = [un + (1-m)v]$ . When  $\lambda = i$ ,  $\mathcal{A} \star [\frac{1}{2}] = \mathcal{N}(m)$ . When  $\lambda = \frac{1+i\sqrt{7}}{2}$ , the orbits of  $\mathcal{A}$  on  $\mathcal{N}(m)$  are  $\mathcal{A} \star [\frac{1}{2}]$ ,  $\mathcal{A} \star [\frac{1-m}{2}]$ . When  $\lambda = i\sqrt{2}$ , the orbits of  $\mathcal{A}$  on  $\mathcal{N}(m)$  are  $\mathcal{A} \star [\frac{1}{2}]$ ,  $\mathcal{A} \star [\frac{1-m}{2}]$ ,  $\mathcal{A} \star [\frac{1}{6}]$ ,  $\mathcal{A} \star [\frac{1-3m}{6}]$ .  $\square$

Given  $\lambda \in \{i, i\sqrt{2}, \frac{1+i\sqrt{7}}{2}\}$ ,  $m \in \mathcal{M}(\lambda)$ ,  $[n] \in \mathcal{N}(m)$  as in the proof of Lemma 6.3, let  $f_{m,[n]}$  be the extension to  $\mathbb{P}^2$  of the self-map  $[z] \mapsto [mz - n]$  of  $C_\lambda$ .

Given two points  $p \neq q$  in  $\mathbb{P}^2$ , let  $L(p, q)$  be the line passing through  $p$  and  $q$ . As usual,  $\tilde{\mathbb{P}}^2 \simeq \mathbb{P}^2$  denotes the space of lines in  $\mathbb{P}^2$ .

**Lemma 6.4.** *Given a self-map  $f$  of  $\mathbb{P}^2$  with  $d(f) = 2$  that leaves invariant a smooth cubic  $C \subset \mathbb{P}^2$ , let  $\mathcal{L} := \{L \in \tilde{\mathbb{P}}^2 : \deg(f(L)) = 1\}$ , and  $\mathcal{P} := \{f(L) : L \in \mathcal{L}\}$ . Then  $\mathcal{L}$  and  $\mathcal{P}$  are smooth cubics in  $\tilde{\mathbb{P}}^2$ , and  $\mathcal{L}$  is isomorphic to  $C$ . Moreover,  $\mathcal{L}$  and  $\mathcal{P}$  have the same set  $\mathbb{F}$  of flexes,  $\mathbb{F}$  depends only on  $C$ , and  $\{f(L) : L \in \mathbb{F}\} = \mathbb{F}$ . Finally,  $\mathcal{L} = \mathcal{P}$  iff  $\mathcal{P}$  is isomorphic to  $C$  iff  $f$  has either 2 or 4 invariant lines.*

*Proof.* We may assume that  $C = C_\lambda$ , with  $\lambda \in \{i, i\sqrt{2}, \frac{1+i\sqrt{7}}{2}\}$ , and  $f = f_{m,[n]}$ , with  $m \in \mathcal{M}(\lambda)$  and  $[n] \in \mathcal{N}(m)$ . We see that  $\mathcal{L} = \{L(p, q) : p \neq q \text{ \& } f(p) = f(q)\}$ , hence  $\mathcal{L}$  is a curve of degree 3 in  $\tilde{\mathbb{P}}^2$ . Let  $L[z] := L([z + \frac{1}{2}], [z + \frac{1+m}{2}]) = L[z + \frac{m}{2}]$ . One of the components of  $\mathcal{L}$  is the elliptic curve  $\mathcal{L}_0 := \{L[z] : [z] \in C\}$ , hence  $\mathcal{L} = \mathcal{L}_0$  is a smooth cubic in  $\tilde{\mathbb{P}}^2$ . The map  $\mathcal{L} \xrightarrow{\psi} C$ ,  $\psi(L[z]) = [mz]$ , is an isomorphism. Since  $L[\frac{1}{2}] \cap L[\frac{m}{4}] \cap L[\frac{1}{2} + \frac{m}{4}] = \{[0]\}$ ,  $\sigma(\psi_* \mathcal{O}_{\mathcal{L}}(1)) = [0]$ . Therefore, three lines  $L[z_j]$  concur iff  $[\sum_j z_j] \in \{[0], [\frac{m}{2}]\}$ . It follows that the set of flexes of  $\mathcal{L}$  is  $\mathbb{F} := \{L[z] : [z] \in \mathcal{F}\}$ . When  $[z] \in \mathcal{F}$ ,  $L[z] = L([z + \frac{1}{2}], [z + \frac{\lambda}{2}])$ , hence  $\mathbb{F}$  does not depend on  $m$  or  $[n]$ .

Let  $P[z] := L([z + \frac{m}{2} - n], [z + \frac{m}{2} + 2n])$ . Then  $f(L[z]) = P[mz] = f(L[z + \frac{3\overline{m}n}{2}])$ , and  $\mathcal{P} = \{P[z] : [z] \in \mathcal{C}\}$ , a smooth cubic in  $\mathbb{P}^2$ . Let  $C \xrightarrow{\hat{\alpha}} \widehat{C}$  be the quotient map associated to the translation  $[z] \mapsto [z + 3n]$ . The map  $\mathcal{P} \xrightarrow{\tilde{\psi}} \widehat{C}$ ,  $\tilde{\psi}(P[z]) = \widehat{[z]}$ , is an isomorphism. Since  $P[n - \frac{m}{2}] \cap P[-\frac{n}{2}] \cap P[\frac{m-n}{2}] = \{[0]\}$ ,  $\sigma(\tilde{\psi}_* \mathcal{O}_{\mathcal{P}}(1)) = \widehat{[0]}$ . It follows that three lines  $P[z_j]$  concur iff  $[\sum_j z_j] \in \{[0], [3n]\}$ , and then the set of flexes of  $\mathcal{P}$  is also  $\mathbb{F}$ . There are five cases to consider:

1.  $\lambda = i$  and  $[n] = [\frac{1}{2}]$ ,
2.  $\lambda = i\sqrt{2}$  and  $[n] \in \{[\frac{1}{2}], [\frac{1-m}{2}]\}$ ,
3.  $\lambda = i\sqrt{2}$  and  $[n] \in \{[\frac{1}{6}], [\frac{1-3m}{6}]\}$ ,
4.  $\lambda = \frac{1+i\sqrt{7}}{2}$  and  $[n] = [\frac{1}{2}]$ ,
5.  $\lambda = \frac{1+i\sqrt{7}}{2}$  and  $[n] = [\frac{1-m}{2}]$ .

For all  $[z] \in \mathcal{F}$ ,  $P[z] = L[z + \frac{1}{3}]$  in case (3), and  $P[z] = L[z]$  in all other cases. It follows that  $f$  permutes the lines in  $\mathbb{F}$ . For further reference, let  $\mathbb{F} \xrightarrow{F} \mathbb{F}$  denote this permutation, and define  $\mathcal{F} \xrightarrow{\phi} \mathcal{F}$ ,  $\phi := \psi F \psi^{-1}$ . Then  $\phi[z] = [mz + \tau]$ , where  $[\tau] = [\frac{m}{3}]$  in case (3), and  $[\tau] = [0]$  in the other four cases. Note that  $\mathcal{L} = \mathcal{P}$  iff  $[\frac{m}{2}] = [3n]$  iff  $\lambda = \frac{1+i\sqrt{7}}{2}$  and  $[n] = [\frac{1-m}{2}]$ , which is case (5). In this case,  $f$  has  $|m-1|^2$  invariant lines. In the other four cases, let  $\underline{l}$  be the sequence of lengths of the  $f$ -cycles of lines, ordered increasingly. Then  $\underline{l} = (1, 8)$  in the cases (1) and (4),  $\underline{l} = (1, 1, 1, 2, 2, 2)$  in case (2), and  $\underline{l} = (3, 6)$  in case (3).  $\square$

**Lemma 6.5.** *There are no self-maps of  $\mathbb{P}^2$  of algebraic degree 2 that leave invariant two smooth cubics.*

*Proof.* We keep the notations from (the proof of) Lemma 6.4. Assume that  $f$  leaves invariant a smooth cubic  $C' \neq C$ . Since  $C \simeq \mathcal{L} \simeq C'$ , there exists  $A_1 \in \text{Aut}(\mathbb{P}^2)$  with  $A_1(C') = C$ . Since  $A_1 f A_1^{-1}$  leaves  $C$  invariant, there is  $A_2 \in \text{Aut}_C(\mathbb{P}^2)$  with  $A_2 A_1 f A_1^{-1} A_2^{-1} = f_{m', [n']} := f'$ , where  $m' \in \mathcal{M}(\lambda)$ ,  $[n'] \in \mathcal{N}(m')$ . If  $A := A_2 A_1$ , then  $A f A^{-1} = f'$  and  $A(C) \neq C$ . Given  $B \in \text{Aut}_C(\mathbb{P}^2)$  with  $B f = f B$ , we may replace  $A$  by  $AB$ . In the cases (1), (2), (4) and (5), we have  $f M = M f$ , where  $M \in \text{Aut}_C(\mathbb{P}^2)$ ,  $M[z] := [-z]$ . In the cases (2) and (3), we have  $f T = T f$ , where  $T \in \text{Aut}_C(\mathbb{P}^2)$ ,  $T[z] := [z - \frac{m+1}{3}]$ .

Let  $\mathcal{L}'$  be the curve of lines that are mapped to lines by  $f'$ , and similarly define  $\mathcal{P}'$ ,  $F'$ ,  $\psi'$  and  $\phi'$ . Clearly,  $\{A(L) : L \in \mathcal{L}\} = \mathcal{L}'$ , and  $\{A(P) : P \in \mathcal{P}\} = \mathcal{P}'$ . Define  $\mathcal{L} \xrightarrow{\alpha} \mathcal{L}'$ ,  $\alpha(L) := A(L)$ . Then  $\alpha(\mathbb{F}) = \mathbb{F}$ , and  $\alpha F = F' \alpha$  on  $\mathbb{F}$ . Define  $C \xrightarrow{\beta} C'$ ,  $\beta := \psi' \alpha \psi^{-1}$ . Then  $\beta(\mathcal{F}) = \mathcal{F}$ , and  $\beta \phi = \phi' \beta$ . Write  $\beta[z] = [uz - v]$ , with  $u \in \mathbb{U}(C)$  and  $[v] \in \mathcal{F}$ . Then  $[u(mz + \tau) - v] = [m'(uz - v) + \tau']$  for all  $[z] \in \mathcal{F}$ . We get  $m = m'$ , hence  $\mathcal{L} = \mathcal{L}'$ ,  $\psi = \psi'$ , and  $[(m-1)v] = [\tau' - u\tau]$ . It suffices to show  $\beta = 1_C$ , since then  $\alpha = 1_{\mathcal{L}}$ , and  $A = 1_{\mathbb{P}^2}$ , contradicting  $A(C) \neq C$ .

In the cases (5) and (4),  $[\tau] = [0] = [\tau']$ , hence  $[v] = 0$ . Since  $f M = M f$ , we may assume  $u = 1$ , i.e.  $\beta = 1_C$ .

In the cases (3) and (2),  $[\tau' - u\tau] \in \mathbb{Z}[\frac{m}{3}]$  and  $[(m-1)v] \in \mathbb{Z}[\frac{m-1}{3}]$ , hence  $[\tau' - u\tau] = [0]$  and  $[v] \in \mathbb{Z}[\frac{m+1}{3}]$ . Since  $f T = T f$ , we may assume that  $[v] = 0$ , and then  $[\tau'] = [u\tau]$ . In case (3), we get  $[\tau'] = [\frac{m}{3}] = [\tau]$  and  $u = 1$ , hence  $\beta = 1_C$ . In case (2), since  $f M = M f$ , we may assume  $u = 1$ , i.e.  $\beta = 1_C$ .

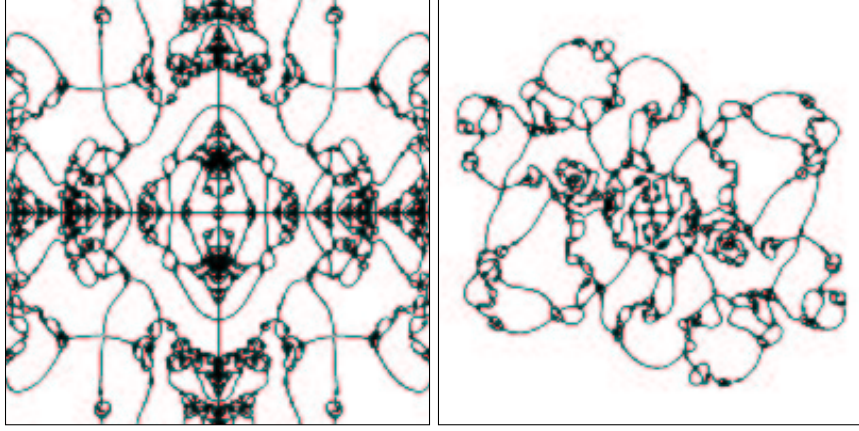


FIGURE 1. Self-map of degree 2 with an invariant smooth cubic.

In case (1),  $f = f'$ ,  $[\tau] = 0$ ,  $[v] = 0$ . Since  $L[0]$  is the only  $f$ -invariant line,  $\beta[0] = [0]$ . Since  $L[\frac{m}{4}] = f^*L[0] - L[0]$ ,  $\beta[\frac{1}{2}] = [\frac{1}{2}]$ . Therefore,  $u = \pm 1$ . Since  $fM = Mf$ , we may assume  $u = 1$ , i.e.  $\beta = 1_C$ .  $\square$

**Proposition 6.6.** *Up to conjugation by a Möbius transformation, there are 20 self-maps of  $\mathbb{P}^2$  of algebraic degree 2 with an invariant smooth cubic.*

*Proof.* Assume  $Af_{m,[n]}A^{-1} = f_{m',[n']}$ . By Lemma 6.5,  $AC_\lambda = C_{\lambda'}$ , hence  $\lambda = \lambda'$ . It follows that  $m = m'$ , and then  $[n] = [n']$ .  $\square$

*Remark 6.7.* Given  $(f, C) \in \mathcal{C}$ , the ramification divisor  $R$  of  $f$  is a smooth cubic isomorphic to  $\mathcal{P}$ , and  $R \cap C = \mathcal{F}$ . The branching curve  $B := f(R)$  is the dual of  $\mathcal{P}$ .

*Proof.* Note that  $R$  is a cubic in  $\mathbb{P}^2$ . Since  $f(L[z]) = f(L[z + \frac{3\overline{m}n}{2}])$ , the map  $C \xrightarrow{\tau} R$ ,  $r[z] := L[z] \cap L[z + \frac{3\overline{m}n}{2}]$ , is well-defined. Its fibers are the orbits of the translation  $\tau[z] = [z + \frac{3\overline{m}n}{2}]$ , and the same is true for the map  $C \ni [z] \mapsto P[mz] \in \mathcal{P}$ . Therefore,  $R \simeq C/\tau \simeq \mathcal{P}$ . When  $[z] \in \mathcal{F}$ ,  $r[z + \frac{1}{2}] = [z]$ , hence  $R \cap C = \mathcal{F}$ .

Given  $x \in R$ , the differential  $df(x)$  has 1-dimensional kernel  $L_x$ , or else  $\mathcal{L}$  would contain the pencil of lines through  $x$ . Clearly,  $L_x \in \mathcal{L}$ . Let  $L \neq L_x$  be another line in  $\mathcal{L}$  that passes through  $x$ . If  $x$  is not critical for the restriction of  $f$  to  $R$ , then  $f(L) = T_{f(x)}B$ . Therefore,  $\mathcal{P}$  is the dual of  $B$ .  $\square$

*Remark 6.8.* When  $\lambda = \frac{1+i\sqrt{7}}{2}$  and  $[n] = [\frac{1-m}{2}]$ , we have  $\mathcal{L} = \mathcal{P}$ , i.e.  $f := f_{m,[n]}$ ,  $m = \frac{\pm 1 \pm \sqrt{7}}{2}$ , leaves invariant the smooth cubic of lines  $\mathcal{L}$ , inducing on  $\mathcal{L}$  the self-map  $g(L[z]) = L[mz]$ . The map  $\mathcal{L} \times \mathcal{L} \xrightarrow{\pi} \mathbb{P}^2$ ,  $\pi(L_1, L_2) = L_1 \cap L_2$ , is regular, and  $\pi(g, g) = f\pi$ . It follows that  $f$  is strictly critically finite, with  $J(f) = \mathbb{P}^2$ . The ramification  $R$  is isomorphic to  $C$ , and the branching  $B$  is the dual of  $\mathcal{L}$ . Moreover,  $f^*B = B + 2R$ . Such maps with an invariant smooth cubic of lines appear in Proposition 7.2. The self-maps with an invariant curve of plane curves are discussed in [4].

**Example 6.9.** The smooth cubic  $y^2z = x(x-z)(x-b^2z)$ , where  $b = 1 + \sqrt{2}$ , is given in the Siegel figure by  $i\sqrt{2}$ . Pick the origin at  $[0, 1, 0]$ . Then  $f_{i\sqrt{2}, [1, 0, 1]} =$

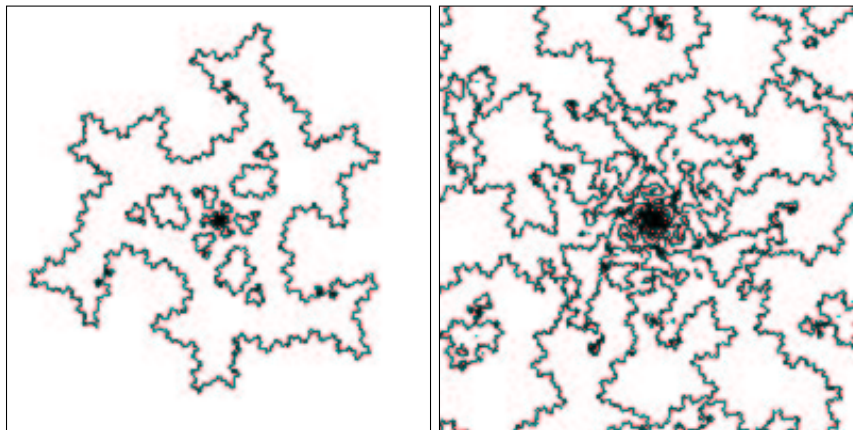


FIGURE 2. Tangent process on the Fermat cubic.

$[y^2 + (3b + 2)x^2 - 2b^2xz - b^3z^2, -2(b + 1)iy(x + bz), y^2 - (b - 2)x^2 + 2b^2xz - b^3z^2]$ . This self-map has five basins of attraction; by Remark 5.5, its Julia set equals their common boundary. Figure 1 shows the traces of the Julia set on the lines  $(x = 0)$  (left) and  $(x = 0.01iz)$  (right), near the flex  $[0, 1, 0]$ .

**6.3. Tangent processes.** The classic “tangent process” on a smooth plane cubic  $C$  maps  $p \in C$  to the residual intersection  $g(p)$  of  $C$  with the tangent line  $T_p(C)$ . Clearly,  $m(g) = -2$ , and  $g$  admits regular extensions to  $\mathbb{P}^2$ .

**Definition 6.10.** A *tangent process* on a (possibly singular) elliptic plane curve  $Q$  is a self-map of  $Q$  with multiplier  $-2$  that admits regular extensions to  $\mathbb{P}^2$ .

In suitable coordinates, the classic tangent processes are given by Desboves’ tangent formula. Let  $[x_j]$  be the coordinates in  $\mathbb{P}^2$ ,  $j \in \mathbb{Z}/3$ . When  $k^3 \neq 1$ , the cubic  $C_k := (h_k = 0)$ ,  $h_k := \sum_j x_j^3 - 3k \prod_j x_j$ , is smooth. Every elliptic curve is isomorphic to some  $C_k$ . The map  $D[x_j] := [x_j(x_{j+1}^3 - x_{j+2}^3)]$  is a rational extension of the classic tangent process  $g_k$  on  $C_k$ . Self-maps that leave invariant arbitrarily many of the curves  $C_k$  can be obtained by adding multiples of  $\prod_k h_k$  to the components of a large iterate of  $D$ . The extensions to  $\mathbb{P}^2$  of  $g_k$  are parametrized by  $3 \times 3$  matrices  $A = (a_{jl})$  of complex numbers,  $D_{k,A}[x_j] = [x_j(x_{j+1}^3 - x_{j+2}^3) + (\sum_l a_{jl}x_j)h_k]$ . By Remark 2.5,  $I(D)$  can be used to get regular extensions of  $g_k$  with attracting points.

**Example 6.11.** When the matrix  $A := \text{diag}(a_j)$  is diagonal, the map  $D_{k,A}$  commutes with  $[x_j] \mapsto [\exp(\frac{2\pi ij}{3})x_j]$ , and the lines  $(x_j = 0)$  are  $D_{k,A}$ -invariant. If  $\max(|a_{j+1} - 1|, |a_{j+2} + 1|) < |a_j|$ , the fixed point  $P_j := (x_{j+1} = 0 = x_{j+2})$  is attracting. If, further assumed,  $\max(|2 + 3(a_j - a_{j+1})|, |2 + 3(a_{j+2} - a_j)|) > 1$ , Remark 5.5 implies that  $J(D_{k,A})$  is the boundary of the basin of  $P_j$ . For example, when  $A := \text{diag}(i, 2i - 1, 1 - 2i)$ , the Julia set  $J(D_{k,A})$  is the common boundary of the basins of  $[0, 1, 0]$  and  $[0, 0, 1]$ . Figure 2 shows the trace of  $J(D_{0,A})$  on the line  $(x + y = 0)$  near  $[1, -1, 0]$  (left), and a zoom-in at the center (right).

When  $A = \text{diag}(a, 1, -1)$ ,  $a \neq 0$ ,  $D_{0,A}$  leaves invariant the Fermat cubic  $C_0$  and the pencil of lines through  $[1, 0, 0]$ . We discuss such maps in the next subsection.

**6.4. Elementary maps with an invariant smooth cubic.** Given  $P \in \mathbb{P}^2$ , a map  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  with  $d(f) > 1$  is *elementary* with center  $P$  iff it leaves invariant the pencil  $\check{P}$  of lines passing through  $P$ , i.e.  $f(L) \in \check{P}$  for all  $L \in \check{P}$ .

**Lemma 6.12.** *Let  $C$  be a smooth elliptic curve, and  $C \xrightarrow{q} \mathbb{P}^1$  a non-constant elliptic function. Assume that a self-map  $\mathbb{P}^1 \xrightarrow{g} \mathbb{P}^1$  with  $\deg(g) > 1$  lifts through  $q$  to a self-map  $C \xrightarrow{h} C$ ,  $qh = gq$ . Then there exists a smooth elliptic curve  $D$ , a map  $C \xrightarrow{r} D$ , and a finite nontrivial group  $G < \text{Aut}(D)$ , with associated quotient map  $D \xrightarrow{s} D/G = \mathbb{P}^1$ , so that  $q = sr$ .*

*Proof.* Let  $B \subset \mathbb{P}^1$  be the branch locus of  $q$ , and  $R = q^{-1}(B)$ . Then  $C \setminus R \xrightarrow{q} \mathbb{P}^1 \setminus B$  is an unramified covering, of degree  $n + 1$ . Let  $m := m(h)$ . Since  $|m| > 1$ , we can find an  $h$ -periodic point  $c_0 \in C \setminus R$ . Let  $c_i$ ,  $1 \leq i \leq n$ , be the other points in the fiber  $q^{-1}(q(c_0))$ . Replacing  $g$  by an iterate, we may assume that  $h(c_0) = c_0$  and  $h^2(c_i) = h(c_i)$ . Let  $(C, c_0) \xrightarrow{\gamma_i} (C, c_i)$  be the transition maps on the sheets of  $q$ , i.e.  $q\gamma_i = q$ . Since  $h^2\gamma_i(c_0) = h\gamma_i h(c_0)$  and  $q(h^2\gamma_i) = q(h\gamma_i h)$ , we get  $h^2\gamma_i = h\gamma_i h$ .

Fix a universal covering  $\mathbb{C} \xrightarrow{u} C$  with  $u(0) = c_0$ . Then  $h$  lifts through  $u$  to the linear map  $\mathbb{C} \xrightarrow{H} \mathbb{C}$ ,  $H(z) = mz$ . Choose  $z_i \in u^{-1}(c_i)$ , and let  $(\mathbb{C}, 0) \xrightarrow{\Gamma_i} (\mathbb{C}, z_i)$  be the lifting of  $\gamma_i$  through  $u$ . Let  $\Gamma_i(z) = z_i + m_i z + \sum_{j \geq 2} m_{i,j} z^j$  be the Taylor series at 0. Since  $|m| > 1$  and  $uH^2\Gamma_i = uH\Gamma_i H$  near 0, we get  $m_{i,j} = 0$  for all  $j \geq 2$ . Therefore,  $\mathbb{C} \xrightarrow{\Gamma_i} \mathbb{C}$  are affine,  $\Gamma_i(z) = m_i z + z_i$ . Let  $\mathbb{C} \xrightarrow{\Gamma_0} \mathbb{C}$  be the identity map.

Identify the lattice  $\Omega = u^{-1}(c_0)$  with a group of translations on  $\mathbb{C}$ ,  $C$  with  $\mathbb{C}/\Omega$ , and  $\mathbb{C} \xrightarrow{u} C$  with the quotient map associated to the action of  $\Omega$  on  $\mathbb{C}$ . Consider the map  $\mathbb{C} \xrightarrow{Q} \mathbb{P}^1$ ,  $Q = qu$ , and the set  $\mathcal{A} = \{\mathbb{C} \xrightarrow{\Gamma} \mathbb{C} : Q\Gamma = Q\}$ . Clearly,  $\mathcal{A} = \bigcup_{0 \leq i \leq n} \Omega\Gamma_i$  and this union is disjoint. Therefore,  $\mathcal{A}$  is a group of affine self-maps of  $\mathbb{C}$ , and  $\Omega$  is a subgroup of  $\mathcal{A}$  of index  $[\mathcal{A} : \Omega] = n + 1$ . Note that  $\mathcal{A}(0) = Q^{-1}(Q(0))$ , and that the evaluation map  $\mathcal{A} \ni \Gamma \mapsto \Gamma(0) \in \mathcal{A}(0)$  is bijective.

The translations in  $\mathcal{A}$  form a normal subgroup  $\mathcal{T}$  of  $\mathcal{A}$ , with  $\Omega \leq \mathcal{T}$ . Since  $Q$  is non-constant,  $\mathcal{T} \subset \mathcal{A}(0)$  is discrete in  $\mathbb{C}$ , hence  $\mathcal{T}$  is a lattice in  $\mathbb{C}$ . Consider the elliptic curve  $D = \mathbb{C}/\mathcal{T}$ , with quotient map  $\mathbb{C} \xrightarrow{v} D$ . Let  $C \xrightarrow{s} D$  be the map induced by  $v$  through  $u$ ,  $v = ru$ . The group  $G = \mathcal{A}/\mathcal{T}$  is a finite group of automorphisms of  $D$ , of order  $k \leq n + 1$ . Let  $D \xrightarrow{s} D/G$  be the quotient map.

Clearly,  $Q$  induces through  $v$  a map  $D \xrightarrow{\alpha} \mathbb{P}^1$ ,  $Q = \alpha v$ . We see that  $\deg(\alpha) = k$ . (Indeed,  $\alpha^{-1}(Q(0))$  has cardinality  $k$ , and  $Q(0)$  is not a branch point of  $\alpha$ .) Clearly,  $\alpha$  induces through  $s$  a map  $D/G \xrightarrow{\beta} \mathbb{P}^1$ ,  $\beta s = \alpha$ . Since  $\deg(\alpha) = k = \deg(s)$ ,  $\beta$  is an isomorphism. We identify, via  $\beta$ , the map  $\alpha$  with the quotient map  $s$ . We get  $qu = Q = \alpha v = sv = sru$ , hence  $q = sr$ .  $\square$

If, in Lemma 6.12,  $\deg(q)$  is a prime number, then  $r$  is an isomorphism; in this case, we may assume that  $D = C$  and  $q = s$ .

The Fermat cubic  $C_0 := (x^3 + y^3 + z^3 = 0)$  is the only smooth elliptic curve with an automorphism  $\gamma$  satisfying  $\gamma^3 = 1$  and  $\text{Fix}(\gamma) \neq \emptyset$ . Let  $c_0 = [0, -1, 1]$ . Then  $\text{Aut}_{c_0}(C_0) \simeq \mathbb{Z}/6$ , generated by  $\gamma_0[x, y, z] := [\tau x, z, y]$ , with  $\tau = \exp(\frac{2\pi i}{3})$ . Let  $G_0 = \langle \gamma_0^2 \rangle$ . Note that  $\text{Fix}(G_0) = C_0 \cdot (x = 0)$ . For  $c \in C \setminus (x = 0)$ ,  $G_0(c)$  consists of three collinear points that determine a line passing through  $P_0 := [1, 0, 0]$ . In other words, the quotient map  $C_0 \rightarrow C_0/G_0$  can be identified with the map



$C_0 \xrightarrow{q_0} \tilde{P}_0$  that associates to  $c \in C_0$  the line joining  $P_0$  to  $c$ . In homogeneous coordinates,  $C_0 \xrightarrow{q_0} \mathbb{P}^1$  is the central projection  $q_0[x, y, z] = [y, z]$ .

Put  $\Omega_0 = \mathbb{Z} + \mathbb{Z}\tau$ , and let  $\mathbb{C} \xrightarrow{[\cdot]}$   $\mathbb{C}/\Omega_0$  denote the quotient map. Fix an isomorphism  $C_0 \simeq \mathbb{C}/\Omega_0$  with  $[0] = c_0$ . Then  $\gamma_0[t] = [-\tau t]$ , as follows from differentiating  $\gamma_0$  at its fixed point  $c_0$ . Note that  $\text{Fix}(G_0) = [\frac{\tau-1}{3}\mathbb{Z}]$ .

Proposition 2.3 yields the following criterion for a self-map of  $C_0$  to admit an elementary extension with center  $P_0$ .

**Corollary 6.13.** *Given a map  $C_0 \xrightarrow{h} C_0$  with  $d := \deg(h) > 1$ ,  $h$  extends to an elementary map with center  $P_0 \iff h(c_0) \in \text{Fix}(G_0) \iff h$  commutes with  $G_0$ .*

*Proof.* Since  $m(h) \in \Omega_0 = \mathbb{Z} + \mathbb{Z}\tau$ , either  $\frac{d}{3} \in \mathbb{Z}$  or  $\frac{d-1}{3} \in \mathbb{Z}$ , hence  $d \geq 3$ .

If  $h$  extends to an elementary map with center  $P_0$ , it induces through  $q_0$  a map  $\mathbb{P}^1 \xrightarrow{g} \mathbb{P}^1$ ,  $q_0 h = g q_0$ . The critical points of  $q_0$  are the three fixed points of  $G_0$ . Since  $h$  is unramified and  $c_0$  is critical for  $q_0$ ,  $h(c_0)$  is critical for  $q_0$ , i.e.  $h(c_0) \in \text{Fix}(G_0)$ .

Assume  $h(c_0) \in \text{Fix}(G_0)$ . For all  $\gamma \in G_0$ ,  $h\gamma(c_0) = \gamma h(c_0)$ . Since  $m(h\gamma) = m(\gamma h)$ ,  $h\gamma = \gamma h$ . Therefore,  $h$  commutes with  $G_0$ .

If  $h$  commutes with  $G_0$ , it induces through  $q_0$  a self-map  $\mathbb{P}^1 \xrightarrow{g} \mathbb{P}^1$ ,  $g = [g_1, g_2]$ ,  $g_j \in \mathbb{C}_d[y, z]$ . Then  $h^* \mathcal{O}_{C_0}(1) \simeq h^* q^* \mathcal{O}_{\mathbb{P}^1}(1) \simeq q^* g^* \mathcal{O}_{\mathbb{P}^1}(1) \simeq q^* \mathcal{O}_{\mathbb{P}^1}(d) \simeq \mathcal{O}_{C_0}(d)$ .

By Proposition 2.3,  $h$  extends to  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$ ,  $f = [f_0, f_1, f_2]$ ,  $f_j \in \mathbb{C}_d[x, y, z]$ .

We have  $q^* g^*(y=0) = q^*(g_1=0) = C_0 \cdot (g_1=0)$ , and  $h^* q^*(y=0) = h^*(C_0 \cdot (y=0)) = C_0 \cdot f^*(y=0) = C_0 \cdot (f_1=0)$ , hence the divisors  $(g_1=0)$  and  $(f_1=0)$  leave the same trace on  $C_0$ . Therefore, there exists a constant  $0 \neq \alpha_1 \in \mathbb{C}$  so that  $f_1 - \alpha_1 g_1$  vanishes on  $C_0$ . Let  $e = x^3 + y^3 + z^3$ . Then there exists a polynomial  $\beta_1 \in \mathbb{C}_{d-3}[x, y, z]$  so that  $f_1 - \alpha_1 g_1 = e\beta_1$ . Similarly, there exist a constant  $0 \neq \alpha_2 \in \mathbb{C}$  and a polynomial  $\beta_2 \in \mathbb{C}_{d-3}[x, y, z]$  so that  $f_2 - \alpha_2 g_2 = e\beta_2$ .

Consider the rational self-map  $\tilde{f}$  of  $\mathbb{P}^2$ ,  $\tilde{f} = [f_0, \alpha_1 g_1, \alpha_2 g_2]$ . Then  $I(\tilde{f}) \subset \{c_0\}$ , and  $\tilde{f}|_{C_0} = f|_{C_0} = h$ . When  $f_0(c_0) \neq 0$ ,  $\tilde{f}$  is an elementary extension of  $h$ . When  $f_0(c_0) = 0$ ,  $[f_0 + x^{d-3}e, \alpha_1 g_1, \alpha_2 g_2]$  is an elementary extension of  $h$ .  $\square$

**Proposition 6.14.** *Let  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  be an elementary map with center  $P$ , that leaves invariant a smooth cubic  $C$ . Then there exists a Möbius map  $M \in \text{Aut}(\mathbb{P}^2)$  with  $M(P) = P_0$  and  $M(C) = C_0$ .*

*Proof.* Let  $C \xrightarrow{h} C$  be the restriction of  $f$  to  $C$ . Clearly,  $P \notin C$ . Let  $C \xrightarrow{q} \tilde{P}$  be the regular map that associates to a point  $c \in C$  the line joining  $P$  to  $c$ . Then  $q$  has topological degree 3, and  $h$  induces through  $q$  a map  $\mathbb{P}^1 \xrightarrow{g} \mathbb{P}^1$ ,  $qh = gq$ . By Lemma 6.12, there exists a group  $\mathbb{Z}/3 \simeq G < \text{Aut}(C)$ , with  $\text{Fix}(G) \neq \emptyset$ , whose orbits lie on lines through  $P$ . Fix  $c \in \text{Fix}(G)$ , necessarily a flex of  $C$ . Pick an isomorphism  $C \xrightarrow{m} C_0$  with  $m(c) = c_0$ . As in the proof of Proposition 2.3,  $m$  extends to a Möbius map  $M \in \text{Aut}(\mathbb{P}^2)$ . Since  $\mathbb{Z}/3 = MGM^{-1} < \text{Aut}_{c_0}(C_0)$ ,  $MGM^{-1} = G_0$ . Since  $M$  maps lines to lines and  $G$ -orbits to  $G_0$ -orbits,  $M(P) = P_0$ .  $\square$

**Example 6.15.** If a map  $C_0 \xrightarrow{h} C_0$  with  $\deg(h) = 3$  (minimal) has an elementary extension  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  with center  $P_0$ , then  $\pm m(h) \in \{1 - \tau, \tau - \tau^2, \tau^2 - 1\}$ . We see that  $h$  maps the set of flexes of  $C_0$  onto  $\text{Fix}(G_0)$ , and is constant on  $\text{Fix}(G_0)$ . Therefore, up to Möbius conjugation, there are six one-parameter families of elementary self-maps of  $\mathbb{P}^2$  of algebraic degree 3, that leave invariant a smooth cubic.

By Desboves' secant formula,  $h[t] = [(\tau - 1)t]$  is given in projective coordinates by  $h[x, y, z] = [(\tau^2 - 1)xyz, z^3 - \tau y^3, y^3 - \tau z^3]$ . The elementary extensions  $f_a$  of  $h$  are obtained by adding  $a(x^3 + y^3 + z^3)$ , with  $a \neq 0$ , to the first component of  $h$ .

Let  $S(f)$  be the support of the Green measure associated to a self-map  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$ , and  $R(f)$  the closure of the set of repelling periodic points of  $f$ .

Assume  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  is elementary with center  $P$ . Since  $f^{-1}(P) = P$ ,  $P$  is super-attracting for  $f$ , and the basin of attraction of  $P$ , denoted  $\mathcal{A}(f)$ , is connected.

**Proposition 6.16.** *If an elementary map  $\mathbb{P}^2 \xrightarrow{f} \mathbb{P}^2$  with center  $P$  leaves invariant a smooth cubic, then:*

1.  $J(f) = S(f) = R(f) = \partial\mathcal{A}(f)$ , and  $\overline{\mathcal{A}(f)} = \mathbb{P}^2$ .
2.  $\bigcup_{r \geq 0} f^r(U) = \mathbb{P}^2 \setminus \{P\}$ , for every open set  $U$  with  $U \cap R(f) \neq \emptyset$  and  $P \notin U$ .

*Proof.* Let  $C \xrightarrow{h} C$  be the restriction of  $f$  to an  $f$ -invariant smooth cubic  $C$ ,  $P$  the center of  $f$ ,  $C \xrightarrow{q} \mathbb{P}^1$  the central projection from  $P$ , and  $\mathbb{P}^1 \xrightarrow{g} \mathbb{P}^1$  the self-map induced by  $h$  through  $q$ . Let  $m = m(h)$  and  $d = |m|^2 > 1$ . By Lemma 6.12,  $q$  is the quotient map  $C \rightarrow C/G$  associated to a group  $\mathbb{Z}/3 \simeq G < \text{Aut}(C)$ .

Since  $g$  is strictly critically finite,  $J(g) = \mathbb{P}^1$ , and  $\mathcal{A}(f)$  is the only Fatou component of  $f$ , hence  $\overline{\mathcal{A}(f)} = \mathbb{P}^2$  iff  $J(f) = \partial\mathcal{A}(f)$ .

For every elementary self-map of  $\mathbb{P}^2$ ,  $R(f) = S(f)$  (cf. [3]). By Corollary 6.13,  $h$  commutes with  $G$ . Replacing  $f$  by an iterate, we may assume that  $f$  fixes a flex  $x \in \text{Fix}(G)$ . The line  $F_x$  joining  $x$  to  $P$  is tangent to  $C$ , hence  $f'_x(x) = m$ , where  $F_x \xrightarrow{f_x} F_x$  is the polynomial function induced by  $f$ . Also, since  $q^*q(x) = 3x$ , we get  $g'(q(x)) = m^3$ . It follows that  $x$  is repelling for  $f$  (with eigenvalues  $m$  and  $m^3$ ), hence  $x \in S(f)$ . Since  $S(f)$  is completely  $f$ -invariant, Theorem 5.4 implies  $J(f) \subset S(f)$ , hence  $J(f) = S(f)$ .

Clearly,  $\partial\mathcal{A}(f) \subset J(f)$ . Since  $x$  is repelling for  $f_x$ , we have  $x \in \partial\mathcal{A}(f_x) \subset \partial\mathcal{A}(f)$ . We get  $J(f) \subset \partial\mathcal{A}(f)$ , hence  $J(f) = \partial\mathcal{A}(f)$ , and the first statement is proved.

Let  $F_1$  be the non-minimal Hirzebruch surface. Statement (2) follows from [3], once we show that  $F_1 \xrightarrow{f} F_1$  has no completely invariant curves besides the negative section. Otherwise,  $f$  leaves completely invariant some affine section, so that  $f_x$  has two exceptional points. But then  $|f'_x(x)| = d$ , in contradiction with  $f'_x(x) = m$ .  $\square$

## 7. DUAL OF SMOOTH CUBIC.

Given  $p \in \mathbb{P}^2$ ,  $\check{p} := \{L \in \check{\mathbb{P}}^2 : p \in L\}$  is a line in  $\check{\mathbb{P}}^2$ . Given a line  $l$  in  $\check{\mathbb{P}}^2$ ,  $\check{l} := \bigcap_{L \in l} L$  is a point in  $\mathbb{P}^2$ . For every  $p \in \mathbb{P}^2$ ,  $\check{\check{p}} = p$ . For every line  $l$  in  $\check{\mathbb{P}}^2$ ,  $\check{\check{l}} = l$ .

Given a smooth cubic  $C$  in  $\mathbb{P}^2$ , with set  $\mathcal{F}$  of flexes, choose the group structure  $\mathbb{C} \xrightarrow{[\cdot]} \mathbb{C}/\Omega = C$  so that  $[0] \in \mathcal{F}$ . Given  $m \in \mathbb{Z}$ , let  $C_m := \{c \in C : mc = 0\}$ . The dual curve  $\check{C}$ , defined as the curve of tangents to  $C$ , is a sextic in  $\check{\mathbb{P}}^2$  with ordinary cusps  $T_a C$ ,  $a \in \mathcal{F}$ , normalized by  $C \xrightarrow{\nu} \check{C}$ ,  $\nu(c) := T_c(C)$ . For all  $c \in C$ ,  $T_{\nu(c)}\check{C} = \check{c}$ . In this way, the dual of  $\check{C}$ , defined as the curve of tangents to  $\check{C}$ , is identified with  $C$ . Given a line  $l$  in  $\check{\mathbb{P}}^2$  not passing through the cusps of  $\check{C}$ , recall that  $l_{\check{C}} := i^*l$ , where  $\check{C} \xrightarrow{i} \check{\mathbb{P}}^2$  denotes the inclusion map, and  $l_C := \nu^*l_{\check{C}}$ . We see that  $l_C = \sum \{(c) : \check{l} \in T_c(C)\}$ . For generic  $[c] \in C$ ,  $[c]_C = 2([c]) + \sum_{[b] \in C_2} ([b - \frac{c}{2}])$ .

**Proposition 7.1.** *For all  $0 \neq m \in \mathbb{Z}(C)$ ,  $R_{\check{C}}(m) = \mathcal{F}$ .*

*Proof.* This follows from Proposition 7.2 below. When  $m \in \mathbb{Z}$ , we give a proof based on Theorem 3.14. The condition that  $[ma - n] \in \mathcal{F}$  for all  $[a] \in \mathcal{F}$  means that  $[n] \in \mathcal{F}$ . Fix  $[a] \in \mathcal{F}$ . For generic  $[c] \in C$ , we calculate  $\alpha(a) = 2\zeta(a - c) + \sum_{b \in \check{C}_2} \zeta(a + \frac{c}{2} - b)$ . Since  $\sum_{b \in \check{C}_2} \zeta(z - b) = 2\zeta(2z)$  for all  $[z] \in C$ , we get  $\alpha(a) = 2\zeta(a - c) + 2\zeta(2a + c) = 2\eta(3a)$ . If  $[n] \in \mathcal{F}$ , then  $\alpha_{m,[n]}[a] = 2m\eta(3ma - 3n) - 2m^2\eta(3a) + \eta(6mn) = 0$ .  $\square$

The condition  $[n] \in \mathcal{F}$  means that the map  $C \xrightarrow{h} C$ ,  $h[z] = [mz - n]$ , preserves the collinearity on the smooth cubic  $C$ : if  $[a], [b], [c]$  are three collinear points on  $C$ , then  $h[a], h[b], h[c]$  are also collinear. The following construction is apparently due to T. Ueda; the second author has learned it from M. Jonsson.

**Proposition 7.2.** *Let  $C \times C \xrightarrow{\pi} \mathbb{P}^2$ ,  $\pi(c_1, c_2) := L(c_1, c_2)$ . Note that  $\pi(c, c) = \nu(c)$  for all  $c \in C$ . If  $C \xrightarrow{h} C$  preserves the collinearity on  $C$ , then  $(h, h)$  induces through  $\pi$  a regular self-map  $\check{h}$  of  $\mathbb{P}^2$ ,  $\check{h}\pi = \pi(h, h)$ . Moreover,*

1. *The branching curve of  $\check{h}$  is  $\check{C}$ , and  $J(\check{h}) = \mathbb{P}^2$ .*
2. *The ruled surface  $\{(c, L) \in C \times \mathbb{P}^2 : c \in L\}$  is  $(h, \check{h})$ -invariant.*
3. *The dual  $\check{C}$  of  $C$  is  $\check{h}$ -invariant, with  $\check{h}\nu = \nu h$ .*
4. *The dual  $C$  of  $\check{C}$  is  $\check{h}$ -invariant; for all  $c \in C$ ,  $\check{h}(\check{c}) = (h(c))$ .*

*Proof.* The map  $\pi$  can be identified with the quotient map associated to the action on  $C \times C$  of the symmetric group  $\Sigma_3$  generated by the involution  $\alpha(a, b) = (b, a)$  and the 3-cycle  $\beta(a, b) = (b, -a - b)$ . Since  $(h, h)$  commutes with  $\alpha$  and  $\beta$ , it induces a regular self-map  $\check{h}$  of  $\mathbb{P}^2$ . The properties of  $\check{h}$  are easy to  $\check{}$ .  $\square$

**Corollary 7.3.** *The curve  $\check{C}$  admits nine tangent processes. They extend uniquely to  $\mathbb{P}^2$ . One of them fixes some (all) of the nine cusps of  $\check{C}$ .*

**Example 7.4.** Let  $C_k = (\sum_j x_j^3 = 3k \prod_j x_j)$ , as in subsection 6.3. The normalization of  $\check{C}_k$  is  $\nu_k[x_j] = [x_j^2 - kx_{j+1}x_{j+2}]$ . When  $k = 0$ ,  $\check{C}_0 = (2 \sum_j a_j^6 = (\sum_j a_j^3)^2)$ . The Desboves map  $g_0$  on  $C_0$  induces through  $\nu_0$  the tangent process on  $\check{C}_0$  that fixes the cusps. We calculate  $\check{g}_0[a_j] = [a_j(-3a_j^3 + 2 \sum_k a_k^3)]$ .

## 8. ELLIPTIC QUARTICS WITH TWO SINGULAR POINTS.

**8.1. Normalization.** Given two plane curves  $Q_1$  and  $Q_2$ , we write  $Q_1 \sim Q_2$  when there exists a Möbius transformation  $M \in \text{Aut}(\mathbb{P}^2)$  with  $M(Q_1) = Q_2$ . The elliptic quartics with two singular points can be represented as follows.

**Proposition 8.1.** *Let  $\mathbb{C} \xrightarrow{[\cdot]}$   $\mathbb{C}/\Omega = C$  be an elliptic curve. Given  $(a, b, \tilde{a}) \in \mathbb{C}^3$ , let  $\tilde{b} := -a - b - \tilde{a}$ ,  $c := -2a - b$ , and  $\tilde{c} := -2\tilde{a} - \tilde{b}$ . Assume that  $[a] \neq [\tilde{a}]$ ,  $[a] \neq [\tilde{b}]$ ,  $[b] \neq [\tilde{a}]$ ,  $[b] \neq [\tilde{b}]$ ,  $[c] \neq [\tilde{a}]$ ,  $[c] \neq [\tilde{b}]$ ,  $[\tilde{c}] \neq [a]$ ,  $[\tilde{c}] \neq [b]$ , and  $[a + b] \neq [\tilde{a} + \tilde{b}]$ . Define  $\mathbb{C} \xrightarrow{\Psi} \mathbb{C}^3$ ,  $\Psi = (X, Y, Z)$ , by:*

$$\begin{aligned} X(t) &= \sigma^2(t - \tilde{a})\sigma(t - \tilde{b})\sigma(t - \tilde{c}), \\ Y(t) &= \sigma^2(t - a)\sigma(t - b)\sigma(t - c), \\ Z(t) &= \sigma(t - a)\sigma(t - b)\sigma(t - \tilde{a})\sigma(t - \tilde{b}). \end{aligned}$$

Then  $\Psi$  induces through  $\mathbb{C} \xrightarrow{[\cdot]} C$  and  $\mathbb{C}^3 \setminus 0 \xrightarrow{[\cdot]} \mathbb{P}^2$  a normalization  $C \xrightarrow{\nu} Q$ ,  $\nu[t] = [\Psi(t)]$ , of an elliptic quartic  $Q := C(a, b, \tilde{a})$  with two ordinary singularities,  $q := [1, 0, 0]$ ,  $\tilde{q} := [0, 1, 0]$ , and  $s(\nu^* \mathcal{O}_Q(1)) = 0$ ,  $\nu^* q = ([a]) + ([b])$ ,  $\nu^* \tilde{q} = ([\tilde{a}]) + ([\tilde{b}])$ . All elliptic quartics with two singularities are Möbius images of such  $C(a, b, \tilde{a})$ .

*Proof.* It is clear that  $X(t)$ ,  $Y(t)$  and  $Z(t)$  do not vanish simultaneously. For  $\omega \in \Omega$ ,  $\frac{X(t+\omega)}{X(t)} = \frac{Y(t+\omega)}{Y(t)} = \frac{Z(t+\omega)}{Z(t)} = \exp(2(2t + \omega)\eta(\omega))$ , so  $C \xrightarrow{\nu} \mathbb{P}^2$  is well-defined. In affine coordinates,  $x[t] = \frac{X(t)}{Z(t)} = \frac{\sigma(t-\tilde{a})\sigma(t-\tilde{c})}{\sigma(t-\tilde{a})\sigma(t-\tilde{b})}$ , and  $y[t] = \frac{Y(t)}{Z(t)} = \frac{\sigma(t-\tilde{a})\sigma(t-\tilde{c})}{\sigma(t-\tilde{a})\sigma(t-\tilde{b})}$ . We show that  $\nu$  is injective on  $C \setminus \nu^{-1}(Z = 0)$ . Indeed, if  $\nu[t_1] = \nu[t_2] \notin (Z = 0)$  for some  $[t_1] \neq [t_2]$ , then  $[t_1] + [t_2]$  would be a fiber of both  $x[t]$  and  $y[t]$ , hence  $[a] + [b] = [t_1] + [t_2] = [\tilde{a}] + [\tilde{b}]$ . Therefore,  $C \xrightarrow{\nu} Q \subset \mathbb{P}^2$  is a normalization map. Clearly,  $\nu^*(q) = ([a]) + ([b])$ ,  $\nu^*(\tilde{q}) = ([\tilde{a}]) + ([\tilde{b}])$ , and  $s(\nu^* \mathcal{O}_Q(1)) = s((Z = 0)_C) = 0$ . In local coordinates, we see that  $q$  and  $\tilde{q}$  are ordinary singularities.

Given any elliptic quartic  $Q$  with singularities  $q \neq \tilde{q}$ , fix a normalization  $C \xrightarrow{\nu} Q$ . Note that  $m_Q(q) = 2 = m_Q(\tilde{q})$ , and  $L(q, \tilde{q})_C = \nu^*(q) + \nu^*(\tilde{q})$ . Choose the group structure  $\mathbb{C} \xrightarrow{[\cdot]} C$  so that  $\nu^* q = [a] + [b]$ ,  $\nu^* \tilde{q} = [\tilde{a}] + [\tilde{b}]$ , with  $a + b + \tilde{a} + \tilde{b} = 0$ . Choose coordinates in  $\mathbb{P}^2$  so that  $L(q, \tilde{q}) = (Z = 0)$ ,  $T_{\tilde{q}}[\tilde{a}] = (X = 0)$ , and  $T_q[a] = (Y = 0)$ . Then  $(Z = 0)_C = ([a]) + ([b]) + ([\tilde{a}]) + ([\tilde{b}])$ ,  $(X = 0)_C = 2([\tilde{a}]) + ([\tilde{b}]) + ([-2\tilde{a} - \tilde{b}])$ ,  $(Y = 0)_C = 2([a]) + ([b]) + ([-2a - b])$ . Rescaling  $x$  and  $y$ , we get  $Q \sim C(a, b, \tilde{a})$ .  $\square$

*Remark 8.2.* Let  $Q = C(a, b, \tilde{a})$  be an elliptic quartic, with  $\tilde{b} := -a - b - \tilde{a}$ . Then  $Q \sim C(\tilde{a}, \tilde{b}, a) \sim C(b, a, \tilde{a}) \sim C(a, b, \tilde{b})$ ,  $Q \sim C(a + \omega, b + \lambda, \tilde{a} + \tilde{\omega})$  for all  $(\omega, \lambda, \tilde{\omega}) \in \Omega^3$ , and  $Q \sim C(ma - n, mb - n, m\tilde{a} - n)$  for all  $(m, [n]) \in \mathbb{U}(C) \times C_4$ .

*Proof.* The first equivalences follow immediately from the proof of Proposition 8.1. For the last one, since  $\frac{\sigma(mt)}{\sigma(t)} = m$  for all  $t \in \mathbb{C}$ , there exist constants  $k_i \neq 0$  so that the automorphism  $(x, y) \mapsto (k_1 x, k_2 y)$  maps  $Q$  onto  $C(ma - n, mb - n, m\tilde{a} - n)$ .  $\square$

*Remark 8.3.* When  $q$  is a node of  $Q := C(a, b, \tilde{a})$ , we have  $\alpha(a, b) = \frac{\sigma(a-\tilde{a})\sigma(a-\tilde{b})}{\sigma(b-\tilde{a})\sigma(b-\tilde{b})}$ . When  $q$  is a cusp of  $Q$ ,  $\alpha(a) = \zeta(a - \tilde{a}) + \zeta(a - \tilde{b})$ . Similarly for  $\tilde{q}$ .

*Proof.* In Definition 3.10, choose  $l = (X = 0)$  for  $q$ , and  $l = (Y = 0)$  for  $\tilde{q}$ .  $\square$

When  $\text{Aut}(Q)$  is large, the invariants  $\alpha_{m, [n]}$  can be calculated more explicitly.

**8.2. Invariant nodal quartics.** A node of a plane curve is *inflectional* iff it is a flex on each of its branches. A *Cassini* curve is an elliptic quartic with two inflectional nodes. In this subsection, we consider a 2-dimensional space of elliptic quartics with two nodes, that contains the Cassini curves.

*Remark 8.4.* Given an elliptic quartic  $Q$  with two nodes,  $\text{Aut}(Q) = \text{Aut}_Q(\mathbb{P}^2)$ . Moreover,  $r_Q(1) > 1$  iff  $Q \sim C(a, a - \frac{\lambda}{2}, -a)$ , with  $[\lambda] = 0 \neq [\frac{\lambda}{2}]$  and  $4[a] \neq 0$ . Finally,  $Q$  is a Cassini curve iff  $Q \sim C(\frac{\lambda}{8}, -\frac{3\lambda}{8}, -\frac{\lambda}{8})$ , with  $[\lambda] = 0 \neq [\frac{\lambda}{2}]$ .

*Proof.* We may assume that  $Q = C(a, b, \tilde{a})$ . As usual,  $\tilde{b} := -a - b - \tilde{a}$ . Let  $g \in \text{Aut}(Q)$  be induced through  $\nu$  by  $C \xrightarrow{h} C$ ,  $h[t] = [-t - n]$ . Since  $h$  leaves invariant the set  $\{[a], [b], [\tilde{a}], [\tilde{b}]\}$ , we get  $4[n] = 0$ . By Remark 8.2,  $g \in \text{Aut}_Q(\mathbb{P}^2)$ .

When  $m = 1$  and  $[n] \neq 0$ , replacing if necessary  $g$  by  $g^2$  we may assume that  $[a - n] = [b]$ ,  $[b - n] = [a]$ ,  $[\tilde{a} - n] = [\tilde{b}]$ . We get  $2[n] = 0$ ,  $b = a - \frac{\lambda}{2}$  with  $[\lambda] = 0$ , and  $\tilde{a} = -a + \frac{\omega}{2}$  with  $[\omega] = 0$ . Let  $a' := a - \frac{\omega}{4}$ . By Remark 8.2,  $Q \sim C(a', a' - \frac{\lambda}{2}, -a')$ .

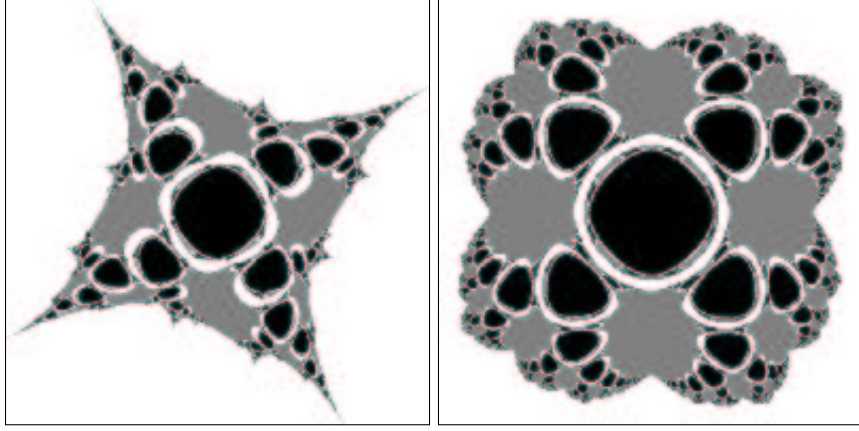


FIGURE 3. Tangent process on Cassini quartic.

When  $Q$  is Cassini,  $0 = [3a + b] = [3b + a] = [3\tilde{a} + \tilde{b}] = [3\tilde{b} + \tilde{a}]$ . We get  $8[a] = 0$ ,  $[b] = -3[a]$ ,  $2[a + \tilde{a}] = 0$ . By Remark 8.2, we may assume that  $a = \frac{\lambda}{8}$  with  $[\lambda] = 0$ ,  $b = -3a$ , and  $\tilde{a} = -a + \frac{\omega}{2}$  with  $[\omega] = 0$ . As before, we may assume that  $\omega = 0$ .  $\square$

**Proposition 8.5.** *Let  $Q = C(a, a - \frac{\lambda}{2}, -a)$ , as in Remark 8.4. If  $0 \neq m \in 2\mathbb{Z}$ , then  $R_Q(m) = \{[n] \in C_{4m} : \det(4mn, \lambda) \in 2\mathbb{Z}\}$ , hence  $r_Q(m) = 8m^2$ .*

*Proof.* By Remark 8.3,  $\alpha(a, a - \frac{\lambda}{2}) = -\exp((2a - \frac{\lambda}{2})\eta(\lambda))$ . Fix  $[n] \in C_{4m}$ . By Remark 3.4,  $\alpha(ma - n, ma - n - \frac{m}{2}\lambda) = \exp((2m^2a - 2mn - \frac{1}{2}m^2\lambda)\eta(\lambda))$ . Define  $\delta := \det(4mn, \lambda)$ . It follows that  $\alpha_{m,n}(a, a - \frac{\lambda}{2}) = \exp(\pi i \delta)$ . Similarly, we calculate  $\alpha_{m,n}(-a, -a + \frac{\lambda}{2}) = \exp(-\pi i \delta)$ . By Theorem 3.14,  $[n] \in R_Q(m)$  iff  $\delta \in 2\mathbb{Z}$ .  $\square$

**Example 8.6.** Given a Cassini quartic  $Q$ , there exists a complex number  $0 \neq k \neq 1$  so that  $Q \sim Q_k := (q_k = 0)$ , where  $q_k := (1 - x^2)(1 - y^2) - (1 - k)$ . Consider the self-map  $g$  of  $Q_k$  that associates to  $p \in Q_k$  the residual intersection  $g(p)$  of  $Q_k$  with the conic  $\Gamma$  that passes through  $q$ ,  $\tilde{q}$  and  $p$ , with tangents  $T_q(\Gamma) = (y = 1)$  and  $T_p(\Gamma) = T_p(Q_k)$ . Proposition 8.5 ensures that  $g$  is a tangent process on  $Q_k$ . We calculate  $g[x, y, z] = [2xy(x^2 + y^2 - 2kz^2), x^4 - y^4, -2xy(x^2 - y^2)]$ . To obtain regular extensions  $f$  of  $g$  to  $\mathbb{P}^2$ , we can add  $aq_k$  to the last component of  $g$ , with  $0 \neq a \neq \pm 4i$ . In the “limit” situation  $k = 0 = a$ , we get the degenerate map  $(x, y) \mapsto \left(-\frac{x^2+y^2}{x^2-y^2}, -\frac{x^2+y^2}{2xy}\right)$ , whose image is the rational quartic  $(x^2 + y^2 = x^2y^2)$ . When  $a^2 = 8k$ , the restriction of  $f$  to the invariant line  $(x = 0)$  is critically finite. When  $a$  and  $k$  are real,  $\mathbb{P}\mathbb{R}^2$  is  $f$ -invariant. Figure 3 shows the traces on  $\mathbb{R}^2$  (with center at  $(0, 0)$ ) of the basins of attraction of  $(0, 0)$  (black) and  $(0, \frac{a}{2})$  (grey), for  $a = \sqrt{8k}$ , with  $k = 0.125$  (left) and  $k = 0.001$  (right). Computer experiments suggest that  $J(f)$  equals the boundary of the basin of  $(0, 0)$ . However, the unbounded component of  $Q_k \cap \mathbb{R}^2$  seems to have a basin of attraction in  $\mathbb{R}^2$  (white in Figure 3).

**8.3. Quartics with a cusp and a node.** Let  $C^\tau := \mathbb{C}/\Omega_\tau$ , with  $\Omega_\tau := \mathbb{Z} \oplus \mathbb{Z}\tau$ . Given a basis  $(\lambda, \omega)$  in  $\Omega_\tau$ , let  $C_{\lambda, \omega}^\tau := C^\tau\left(\frac{\lambda+\omega}{8}, \frac{\lambda+\omega}{8}, \frac{\lambda-3\omega}{8}\right)$ .

*Remark 8.7.* Given an elliptic quartic  $Q$  with a cusp and a node,  $r_Q(-1) > 0$  iff the node of  $Q$  is inflectional iff  $Q \sim C_{\lambda, \omega}^\tau$  with  $(\lambda, \omega) \in \{(1, \tau), (\tau, \tau + 1), (\tau + 1, 1)\}$ .

*Proof.* By Proposition 8.1 and Remark 8.2, we may assume that  $Q = C^\tau(a, a, \tilde{a})$ , with  $2[a + \tilde{a}] \neq 0 \neq 4[a]$ ,  $[a] \neq [\tilde{a}] \neq -3[a]$ . Let  $Q \xrightarrow{g} Q$  be induced through  $\nu$  by  $[t] \mapsto [n - t]$ . Since  $g$  fixes  $q$ ,  $[n] = 2[a]$ . Since  $g$  must fix the branches of  $\tilde{q}$ ,  $2[\tilde{a}] = 2[a]$  and  $8[a] = 0$ , i.e.  $\tilde{q}$  is inflectional. With  $\omega := 2(a - \tilde{a})$  and  $\lambda := 8a - \omega$ , we get  $Q = C_{\lambda, \omega}^\tau$ . By Remark 8.2,  $C_{\lambda, \omega}^\tau \sim C_{\omega, \lambda}^\tau$ , and  $C_{\lambda+2\alpha, \omega}^\tau \sim C_{\lambda, \omega}^\tau \sim C_{\lambda, \omega+2\alpha}^\tau$  for all  $\alpha \in \Omega_\tau$ , hence we may assume  $(\lambda, \omega) \in \{(1, \tau), (\tau, \tau + 1), (\tau + 1, 1)\}$ . Note that  $[t] \mapsto [\frac{\lambda+\omega}{4} - t]$  induces through  $\nu$  an automorphism of  $C_{\lambda, \omega}^\tau$  that extends to  $\mathbb{P}^2$ .  $\square$

**Lemma 8.8.** *Let  $Q = C_{\lambda, \omega}^\tau$ , with  $a = \frac{\lambda+\omega}{8}$ ,  $\tilde{a} = \frac{\lambda-3\omega}{8}$  and  $\tilde{b} = \frac{\omega-3\lambda}{8}$ . Then  $\alpha(a) = \frac{1}{2}\eta(\lambda + \omega)$ , and  $\alpha^2(\tilde{a}, \tilde{b}) = -\frac{\exp(\frac{\omega}{2}\eta(\omega))}{\exp(\frac{\lambda}{2}\eta(\lambda))} \cdot \frac{\mathcal{P}(\frac{\omega}{2})+2\mathcal{P}(\frac{\lambda}{2})}{\mathcal{P}(\frac{\lambda}{2})+2\mathcal{P}(\frac{\omega}{2})}$ .*

*Proof.* By Remark 8.3,  $\alpha(a) = \zeta(\frac{\omega}{2}) + \zeta(\frac{\lambda}{2}) = \frac{1}{2}(\eta(\omega) + \eta(\lambda)) = \frac{1}{2}\eta(\omega + \lambda)$ , and  $\alpha(\tilde{a}, \tilde{b}) = \frac{\sigma^2(\frac{\omega}{2})}{\sigma^2(\frac{\lambda}{2})}$ . Since  $\mathcal{P}'(t) = \frac{\sigma(2t)}{\sigma^4(t)}$  and  $\lim_{t \rightarrow 0} \frac{\mathcal{P}'(t+\frac{\omega}{2})}{\mathcal{P}'(t+\frac{\lambda}{2})} = \frac{\mathcal{P}''(\frac{\omega}{2})}{\mathcal{P}''(\frac{\lambda}{2})}$ , we obtain  $\alpha^2(\tilde{a}, \tilde{b}) = \frac{\exp(\frac{\omega}{2}\eta(\omega))}{\exp(\frac{\lambda}{2}\eta(\lambda))} \cdot \frac{\mathcal{P}''(\frac{\omega}{2})}{\mathcal{P}''(\frac{\lambda}{2})}$ . The three finite critical values of  $\mathcal{P}$  are  $e_1 := \mathcal{P}(\frac{\omega}{2})$ ,  $e_2 := \mathcal{P}(\frac{\lambda}{2})$  and  $e_3 := -e_1 - e_2 = \mathcal{P}(\frac{\lambda+\omega}{2})$ . Recall that  $(\mathcal{P}')^2 = -4 \prod_i (\mathcal{P} - e_i)$ , hence  $\mathcal{P}'' = -2 \sum_i (\mathcal{P} - e_{i-1})(\mathcal{P} - e_{i+1})$ . We get  $\mathcal{P}''(\frac{\lambda}{2}) = -2(e_2 - e_1)(e_2 - e_3)$  and  $\mathcal{P}''(\frac{\omega}{2}) = -2(e_1 - e_2)(e_1 - e_3)$ , hence  $\frac{\mathcal{P}''(\frac{\omega}{2})}{\mathcal{P}''(\frac{\lambda}{2})} = -\frac{e_1+2e_2}{e_2+2e_1}$ .  $\square$

Given  $r \in \mathbb{R}$ , let  $\lfloor r \rfloor$  denote the largest integer less than or equal to  $r$ .

**Proposition 8.9.** *Let  $Q = C_{\lambda, \omega}^\tau$ , as in Remark 8.7. Given  $m \in \mathbb{Z}$ ,  $R_Q(m) \neq \emptyset$  iff  $\left(\frac{\mathcal{P}(\frac{\lambda}{2})+2\mathcal{P}(\frac{\omega}{2})}{\mathcal{P}(\frac{\omega}{2})+2\mathcal{P}(\frac{\lambda}{2})}\right)^{\lfloor \frac{m^2}{2} \rfloor} = 1$ , in which case  $R_Q(m) = \{(m-1)a\}$ .*

*Proof.* Fix  $[n] \in R_Q(m)$ . Since  $[t] \mapsto [mt - n]$  must fix  $[a]$ , we may assume that  $n = (m-1)a$ , and then  $4m[n] = 0$ . By Lemma 8.8,  $\alpha_{m,n}(a) = 0$ .

When  $m$  is even, we have  $[m\tilde{a} - n] = [m\tilde{b} - n]$ , and Remark 3.4 implies that  $\alpha(m\tilde{a} - n, m\tilde{b} - n) = \exp(\frac{1}{4}m(1-2m)(\lambda + \omega)\eta(\lambda - \omega))$ . Using Lemma 8.8, we calculate  $\alpha_{m,n}(\tilde{a}, \tilde{b}) = \left(\frac{\mathcal{P}(\frac{\lambda}{2})+2\mathcal{P}(\frac{\omega}{2})}{\mathcal{P}(\frac{\omega}{2})+2\mathcal{P}(\frac{\lambda}{2})}\right)^{\frac{m^2}{2}}$ .

When  $m$  is odd, we have  $m\tilde{a} - n = \tilde{a} - p\omega$  and  $m\tilde{b} - n = \tilde{b} - p\lambda$ , with  $p := \frac{m-1}{2}$ . By Remark 3.4,  $\alpha(m\tilde{a} - n, m\tilde{b} - n) = (-1)^p \alpha(\tilde{a}, \tilde{b}) \exp(\frac{1}{2}p(4p+3)(\omega\eta(\omega) - \lambda\eta(\lambda)))$ .

By Lemma 8.8,  $\alpha_{m,n}(\tilde{a}, \tilde{b}) = \left(\frac{\mathcal{P}(\frac{\lambda}{2})+2\mathcal{P}(\frac{\omega}{2})}{\mathcal{P}(\frac{\omega}{2})+2\mathcal{P}(\frac{\lambda}{2})}\right)^{\frac{m^2-1}{2}}$ .  $\square$

**Corollary 8.10.** *Up to Möbius equivalence,  $C_{1,i}^i$  is the only elliptic quartic with a cusp and an inflectional node that admits a tangent process.*

*Proof.* The condition  $\left(\frac{\mathcal{P}(\frac{\lambda}{2})+2\mathcal{P}(\frac{\omega}{2})}{\mathcal{P}(\frac{\omega}{2})+2\mathcal{P}(\frac{\lambda}{2})}\right)^2 = 1$  means  $\mathcal{P}(\frac{\lambda+\omega}{2}) = 0$ . In this case,  $C^\tau$  is isomorphic to  $(y^2 = x^3 - x)$ , i.e.  $\tau = i$ . Since  $\mathcal{P}(it) = -\mathcal{P}(t)$ , we have  $\mathcal{P}(\frac{1+i}{2}) = 0$ , hence  $[\frac{\lambda+\omega}{2}] = [\frac{1+i}{2}]$ . By Remark 8.7, we may assume  $(\lambda, \omega) = (1, i)$ .  $\square$

**Example 8.11.** Let  $Q := (h = 0)$ , with  $h := (x^2 - y^2)z^2 - xy^3$ . Then  $Q \sim C_{1,i}^i$ , with cusp  $q = [1, 0, 0]$  and node  $n := [0, 0, 1]$ . Let  $r := [0, 1, 0] \in T_q(Q) \cap Q$ . The tangent process on  $Q$  maps  $p \in Q$  to the residual intersection  $g(p)$  of  $Q$  with the conic that passes through  $q, n, r, p$ , and is tangent to  $Q$  at  $p$ . We calculate  $g[x, y, z] = [4(xy + 2z^2)^2 - (x^2 + y^2)^2, 16x^2z^2 - 4xy(x^2 - y^2), 8xz(x^2 + y^2)]$ .

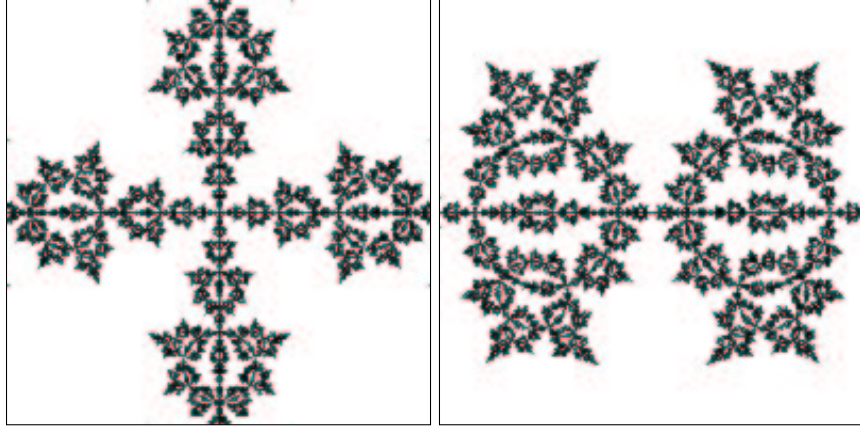


FIGURE 4. Tangent process on “mixed” quartic.

The group  $\text{Aut}(Q)$  is generated by  $[x, y, z] \mapsto [x, -y, iz]$ . The regular extensions of  $g$  that commute with  $\text{Aut}(Q)$  are obtained by adding  $ah$  to the second component of  $g$ , with  $0 \neq a$ . When  $a = \frac{16b}{(b-1)(b+3)}$ , with  $|b^2 - 1| < 1$  and  $|b^2 + 1| < 2$ , the fixed points  $[\pm\sqrt{\frac{b-1}{b+3}}, 1, 0]$  are attracting for the self-map  $f_b$  obtained in this way; by Remark 5.5,  $J(f_b)$  is the common boundary of their basins. Figure 4 shows the traces of  $J(f_{-0.9})$  on the lines  $(x = 0)$  (left) and  $(z = 0)$  (right), near  $[0, 1, 0]$ .

**8.4. Invariant cuspidal quartic.** We discuss now the invariance of the elliptic quartics with two cusps.

*Remark 8.12.* Given an elliptic quartic  $Q$  with two cusps,  $Q \sim C(a) := C(a, a, -a)$ , with  $4[a] \neq 0$ . Moreover,  $\text{Aut}(Q) = \text{Aut}_Q(\mathbb{P}^2)$ , and  $r_Q(-1) = 1$ . The tangents to  $Q$  at the cusps meet at a flex of  $Q$  iff  $Q \sim C^\rho(\frac{1+\rho}{6})$ , with  $\rho := \exp(\frac{\pi i}{3})$ .

*Proof.* The first statement follows from Proposition 8.1 and Remark 8.2. Let  $g \in \text{Aut}(Q)$ , with  $Q = C(a)$ , be induced through  $\nu$  by  $C \xrightarrow{h} C$ ,  $h[t] = [mt - n]$ . Since  $h$  leaves invariant the set  $\{[a], -[a]\}$ , we get  $2[n] = 0$ . By Remark 8.2,  $g \in \text{Aut}_Q(\mathbb{P}^2)$ . When  $m = -1$ , we must have  $h[a] = -[a]$ , i.e.  $[n] = 0$ .

The tangents to  $C(a)$  at the two cusps meet at  $r := [0, 0, 1]$ . Clearly,  $r \in C(a)$  iff  $6[a] = 0$ . In this case,  $r = \nu[3a]$ , and  $r$  is a flex of  $C(a)$  iff  $(\frac{Y}{X})'(3a) = 0$ , i.e.  $3\zeta(2a) = \eta(6a)$ . By the addition theorems of  $\zeta$  and  $\mathcal{P}$ , this happens iff  $\mathcal{P}''(2a) = 0$  iff  $\mathcal{P}(2a) = 0$ . Assuming this, the second-order differential equation of  $\mathcal{P}$  implies that  $\sum_i e_{i-1}e_{i+1} = 0$ , where  $e_i$  are the three finite critical values of  $\mathcal{P}$ . Therefore,  $C$  is isomorphic to  $C^\rho$ , in which case  $\mathcal{P}(\rho t) = -\rho\mathcal{P}(t)$  for all  $t$ , hence  $\mathcal{P}(\frac{1+\rho}{3}) = 0$ . It follows that  $2[a] = \pm[\frac{1+\rho}{3}]$ , and we may assume  $a = \frac{1+\rho}{6}$ , by Remark 8.2.  $\square$

**Proposition 8.13.** *Let  $Q = C(a)$ , as in Remark 8.12. Given  $m \in \mathbb{Z}$ ,  $R_Q(m) \neq \emptyset$  iff there exists  $\epsilon \in \{-1, 0, 1\}$  so that  $2(m-\epsilon)[a] = 0$  and  $(m-\epsilon)\zeta(2a) = \eta(2(m-\epsilon)a)$ . When  $\epsilon = 0$ ,  $R_Q(m) = \{(m \pm 1)[a]\}$ ; when  $\epsilon = \pm 1$ ,  $R_Q(m) = \{(m - \epsilon)[a]\}$ .*

*Proof.* Given  $n \in R_Q(m)$ , let  $Q \xrightarrow{g} Q$  be induced through  $\nu$  by  $[t] \mapsto [mt - n]$ . Recall that  $q = \nu[a]$  and  $\tilde{q} = \nu[-a]$  are the cusps of  $Q$ . There are three possibilities.

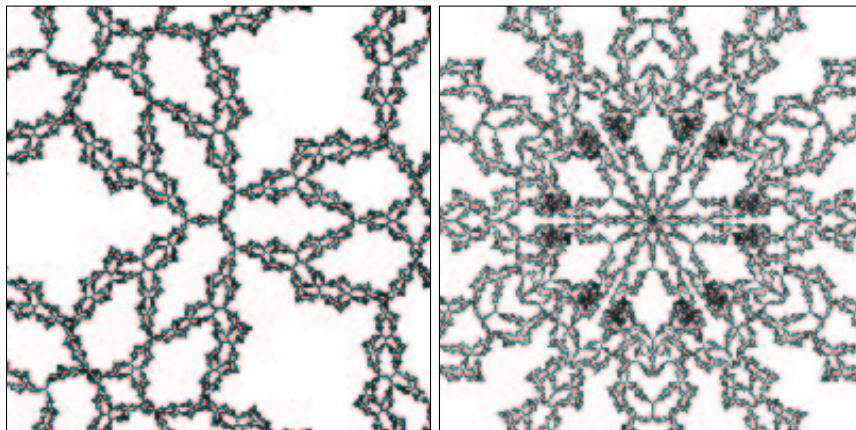


FIGURE 5. Tangent process on cuspidal quartic.

1.  $g(q) = q$  and  $g(\tilde{q}) = \tilde{q}$ , i.e.  $2(m-1)[a] = 0$  and  $[n] = (m-1)[a]$ .
2.  $g(q) = g(\tilde{q})$ , i.e.  $2m[a] = 0$  and  $[n] = (m \pm 1)[a]$ .
3.  $g(q) = \tilde{q}$  and  $g(\tilde{q}) = q$ , i.e.  $2(m+1)[a] = 0$  and  $[n] = (m+1)[a]$ .

Therefore,  $2(m-\epsilon)a = \omega \in \Omega$ , for a (unique)  $\epsilon \in \{-1, 0, 1\}$ . When  $\epsilon = \pm 1$ , we may assume  $n = (m-\epsilon)a$ . When  $\epsilon = 0$ , we may assume  $n = (m-1)a$  (conjugate if necessary  $g$  with the involution induced by  $[t] \mapsto [-t]$ ).

By Remark 8.3,  $\alpha(a) = 2\zeta(2a) = -\alpha(-a)$ .

Assume  $\epsilon = \pm 1$ . Then  $\alpha(ma-n) = \epsilon\alpha(a)$ ,  $\alpha_{m,n}(a) = 2m(\eta(\omega) - (m-\epsilon)\zeta(2a))$ ,  $\alpha(-ma-n) = \alpha(-\epsilon a - \omega) = -\epsilon\alpha(a) - 4\eta(\omega)$ , and  $\alpha_{m,n}(-a) = -\alpha_{m,n}(a)$ .

Assume  $\epsilon = 0$ . Then  $\alpha(ma-n) = \alpha(a)$ ,  $\alpha_{m,n}(a) = 2(m-1)(\eta(\omega) - m\eta(2a))$ ,  $\alpha(-ma-n) = \alpha(a-\omega) = \alpha(a) - 4\eta(\omega)$ ,  $\alpha_{m,n}(-a) = -2(m+1)(\eta(\omega) - m\eta(2a))$ .  $\square$

**Corollary 8.14.** *Up to Möbius equivalence,  $C^\rho(\frac{1+\rho}{6})$  is the only elliptic quartic with two cusps that admits a tangent process.*

*Proof.* By Proposition 8.13,  $6[a] = 0$  and  $3\zeta(2a) = \eta(6a)$ . (The proof of) Remark 8.12 finishes the proof.  $\square$

**Example 8.15.** Let  $Q := (h = 0)$ , with  $h := (y^2 - z^2)^2 - 8x^3z$ . Then  $Q \sim C^\rho(\frac{1+\rho}{6})$ ; the tangents to  $Q$  at the cusps  $q := [0, 1, 1]$ ,  $\tilde{q} := [0, -1, 1]$  meet at the flex  $r := [1, 0, 0]$ . The tangent process on  $Q$  maps  $p \in Q$  to the residual intersection  $g(p)$  of  $Q$  with the conic that passes through  $q, \tilde{q}, r, p$ , and is tangent to  $Q$  at  $p$ . We calculate  $g[x, y, z] = [x(x^3 - 2y^2z + 10z^3), y(7z^3 - 5y^2z - 2x^3), 2y^4]$ .

The group  $\text{Aut}(Q)$  is generated by  $[x, y, z] \mapsto [\rho x, y, -z]$ . The extensions  $f_a$  of  $g$  that commute with  $\text{Aut}(Q)$  are obtained by adding  $ah$  to the last component of  $g$ . When  $3.484 < a < 10$ ,  $f_a$  has three attracting points on the invariant line ( $y = 0$ ), and, by Remark 5.5,  $J(f_a)$  is the common boundary of their basins. Figure 8.4 shows the traces of  $J(f_4)$  on the lines ( $z = 0.01y$ ) (left) and ( $z = 0$ ) (right), near  $r$ .

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